

## THE NEW BIOLOGICALLY ACTIVE METABOLITES FROM *ASPERGILLUS NIVEUS* 2411

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*Pharmacological science possesses a significant number of compounds with antibiotic activity. By now the chemical structures have been identified and their properties have been described for the great number; many of them found practical use. But the main stimulus for the further new antibiotic compounds search is the acquired resistance of pathogenic organisms. Our previous investigations were devoted to antibiotic activity of *Aspergillus niveus* that is known as a producer of ferment preparations with wide activity spectrum. **Aim.** This investigation became the follow-up of our previous studies and its main task was to isolate, purify and obtain biologically active metabolite(s) from *A. niveus* 2411 strain in crystalline form, and to study its (their) physicochemical properties and biological activity. **Methods.** Biologically active metabolites were obtained by extraction, two-step column chromatography and recrystallization methods. The obtained substances were characterized by physical-chemical and microbiological methods. **Results.** Two substances in crystalline form with different spectrum of antibiotic activity against indicator test-cultures were obtained. The substance AN4 showed antibacterial, antifungal, and phytotoxic activities, while AN7 showed only antibacterial activity. Neither of obtained compounds showed dermatocidal or toxigenic activity in rabbit skin test. Obtained spectral characteristics of substances suggest that AN4 and AN7 substances are similar and belong to compounds with cyclic structures, have double linkage, methyl, aromatic, and carboxyl groups. **Conclusions.** Obtained data showed that antibiotic activity of *A. niveus* 2411 depend on the complex of biologically active metabolites with different biological and physicochemical properties. Two compounds AN4 and AN7 were isolated and purified from the fungal cultural filtrate of *A. niveus* 2411. The data of IR and UV spectra of these compounds and their profiles of biological activity don't have significant differences with those of citrinin – a metabolite of *A. niveus* with antibiotic properties. However, based on the results obtained and comparisons with the data of other authors on metabolites of *A. niveus*, we suggest that the substances we isolated may be derivatives of citrinin.*

*Keywords: Aspergillus niveus, antibiotic activity, metabolites, citrinin derivatives.*

The discovery of penicillin by Alexander Fleming sparked the so called antibiotic era and extensive studies of fungi as a group of the most perspective producers of these biologically active metabolites. By now the chemical structures and properties of the great number of compounds with wide spectrum of action has been described and identified; many of them found practical use in medicine, veterinary, plant growing for struggle with bacterial and fungal pathogens as well as in different fields of industry [1].

At the same time the actively developing resistance of pathogenic microorganisms to preparations employed is the main incentive to search the new antibiotic compounds among

different fungal species which were described earlier but weren't investigated from the point of view of synthesis of biologically active metabolites [1–3]. Notably, fungi like that occur even among representatives of *Aspergillus* and *Penicillium* genera – real champions among producers [4].

The systemic screening of biological activity of more than 300 potential producers among strains of *Aspergillus*, *Penicillium*, *Eupenicillium*, *Paecilomyces*, *Alternaria*, *Ulocladium*, *Bipolaris*, *Gliocladium*, *Nectria*, *Tritirahium*, *Myrothecium*, *Beauvernia*, *Acremonium*, *Botryodiplodia*, *Cephalophora* genera isolated from different ecological niches had been conducted earlier [5–7]. The screening results showed that practically all

studied fungal strains synthesized biologically active metabolites of different spectrum of action against wide set of test-organisms.

During investigation we paid attention to antibiotic activity of metabolites of *Aspergillus niveus* Blochwitz (1929) (teleomorph: *Fennellia nivea* (B.J. Wiley & E.G. Simmons) Samson (1979)) that is known as a producer of wide spectrum of enzymes [8, 9]. The group of Brazilian scientists had published the series of articles in which *A. niveus* was described as producer of amylase [10, 11], glucoamylase [10],  $\alpha$ -glucosidase [12], polygalacturonase [13],  $\beta$ -fructofuranosidase [14, 15], chitinase [16], xylanase [17–19], L-asparaginase [20], pectin lyase [21],  $\gamma$ -lyase [22], and laccase [23].

Besides enzymes of *A. niveus* are used for obtaining of itaconic acid from agricultural waste [24–26], for purification of distillery effluent [27], for chromium and tannic acid bioremediation [28] and for biosorption of lead ions from aqueous solution [29]. At the same time, only one case of pulmonary aspergillosis caused by *A. niveus* has been reported in a patient with a weakened immune system [30].

In their fundamental work devoted to new species of section *Terrei* of *Aspergillus* by R.A. Samson et al. in 2011 identified following extralites synthesized by *A. niveus*: aszonalenine, butyrolactones, citrinin, and gregatins [9]. Unfortunately, in the available later literature there were no data confirmed the biosyntheses of these biologically active substances by *A. niveus*.

Early we showed the wide spectrum of antibiotic activity for *A. niveus* 2411 strain [31]. It inhibited the growth of gram-positive test-bacteria *Staphylococcus aureus*, *Bacillus subtilis*, *B. licheniformis*, *B. megaterium*, *Micrococcus varians*, *M. flavus*, *Mycobacterium smegmatis*; gram-negative test-bacteria *Escherichia coli* and phytopathogens *Pseudomonas syringae* pv. *lachrymans*, *Pectobacterium carotovorum*, as well as test-yeasts (*Candida albicans*, *Kluyveromyces marxianus*, *Trichosporon cutaneum*) and filamentous test-fungi (*Phoma betae* and *A. niger*). The aim of this investigation was to isolate, purify and obtain biologically active metabolite(s) from *A. niveus* 2411 strain in crystalline form, and to study its (their) physicochemical properties and biological activity.

**Materials and methods.** *A. niveus* 2411 strain was isolated in 2002 from indoor air (Kyiv). This strain is stored in the Ukrainian Collection of

Microorganisms of D.K. Zabolotny Institute of Microbiology and Virology of the NASU. *A. niveus* 2411 strain was grown (static cultivation) in liquid modified Czapek-Dox medium containing (g/L): glucose – 20.0; NaNO<sub>3</sub> – 1.0; KH<sub>2</sub>PO<sub>4</sub> – 1.0; KCl – 0.5; MgSO<sub>4</sub>·7H<sub>2</sub>O – 0.5; FeSO<sub>4</sub>·7H<sub>2</sub>O – 0.01 in distilled water at 26 °C for 14 days [32]. Isolation of active metabolites from cultural filtrate was made using the universally accepted scheme. It consisted of following steps: working out the methods of extraction (combined chromatography); extraction itself and accumulation of substrate; primary purification from protein, lipid and pigment impurities; fractionation by column chromatography and recrystallization of active substances [33].

In fitting of extraction conditions, we assumed from the data of combined chromatography performed by Shevchik method [33] and chose chloroform as the best extractant. Extraction was made three times for 15 minutes in 1:4 ratio and obtained extract was vaporized in a rotary vacuum concentrator (Reanal, Hungary) up to 1/10 part of initial volume. Residue was purified from different impurities: precipitation by lead acetate was used for purification from proteins; liquid-liquid redistribution in acetonitrile:hexane system – for purification from lipids; column with activated carbon BAU-1 as an sorbent and methanol as an active phase – for purification from pigments. For removal of moisture residue, obtained substance was passed through sodium sulfate anhydrous [34]. Then partially purified extract was evaporated and fractionized by column chromatography [33].

As a sorbent for column chromatography silica gel L of II grade of activity by Brockman, 100–160  $\mu$ m particle size (Lachema, Czech Republic) was used. As mobile phase following individual solvents and their systems were used in order of polarity increasing: n-hexane  $\rightarrow$  n-hexane-chloroform (5:1)  $\rightarrow$  chloroform  $\rightarrow$  chloroform-acetone (5:1)  $\rightarrow$  chloroform-acetone (9:1)  $\rightarrow$  acetone  $\rightarrow$  acetone-acetonitrile (5:1)  $\rightarrow$  acetonitrile  $\rightarrow$  water. Volume of collected fractions was 50 mL and flow rate – 0.5 mL/min. The contents of dry substances were measured by weighing of 5 ml of each fraction after drying at 105 °C to constant weight.

In obtained fractions the presence of active substances was determined by thin layer chromatography (TLC) and their antibiotic activity against indicator test-cultures *Bacillus licheniformis* 5 and *Kluyveromyces marxianus* 899 was estimated by agar disc diffusion assay method (10.0  $\mu$ L/disk)

[35]. For TLC 10  $\mu\text{L}$  of specimen was applied to Silufol UV254 plates, (Kavallier, Czech Republic); solvent systems of chloroform:methanol (4:1) was used as mobile phase. Visualization of spots on the plates was carried out in iodine saturated developing tank [36] as well as by bioautography method [37, 38] using strains *B. licheniformis* 5 and *K. marxianus* as test-cultures.

Active fractions were combined and vaporized *in vacuo* at 50 °C. Active compounds were recrystallized from benzol. This methodological approach provided the obtaining of homogeneous substance that was visualized on chromatogram as single spot.

Physical-chemical and spectrum characteristics were measured by conventional methods. So, the elemental analysis was detected by Cheronis sodium fusion method [39–41], spectrum characteristics were obtained by spectrophotometers Specord and UR-10 (Germany) in UV-, visible light and IR-spectral ranges by spectrophotometers Specord and UR-10 (Germany) in UV-, visible light and IR-spectral ranges [42].

The spectrum of biological activity of obtained preparations was measured by agar well diffusion assay methods (100.0  $\mu\text{L}$ /well) [35]. Strains *B. licheniformis* 5, *B. subtilis* 617, *B. subtilis* 902, *Staphylococcus aureus* 904, *S. aureus* 918, *Micrococcus varians* 613, *M. varians* 634, *Proteus vulgaris* 905, *Pectobacterium carotovorum* 8636, *Agrobacterium tumefaciens* 8464; *K. marxianus* 899, *Candida albicans* 690, *Trichosporon cutaneum* 1502; 6 strains of *Chlorella vulgaris* and 4 strains of

*C. kessleri* were used as test-cultures for estimation of antibacterial, antifungal and phytotoxic activities, respectively. Rabbit skin test was used for assess of toxicity of obtained crystallized preparation. The animals, their general state and skin health were under constant observation for 5 days [43].

**Results.** Data of combined chromatography by Shevchik method when chloroform had been chosen as extractant pointed to moderate polarity of active substance. Initial preparation possessed high antibiotic activity against gram-positive test-bacteria and moderate – against gram-negative test-bacteria, yeasts and filamentous fungi [31].

Figure 1 demonstrates the results of fractionation of chloroform extract of *A. niveus* 2411. It is shown that substances from fraction 21 are active both against *B. licheniformis* 5 and *K. marxianus* 899 while substances from fraction 27 are active against *B. licheniformis* 5.

Our assumption about the presence of two compounds with different spectrum of biological action in the preparation were confirmed by TLC of fraction 21 in solvent system chloroform:methanol (4:1) (Fig. 2).

Taking into account the above mentioned we performed the rechromatography of fraction 21 in following solvent system: chloroform  $\rightarrow$  chloroform:methanol (97:3)  $\rightarrow$  chloroform:methanol (93:7)  $\rightarrow$  chloroform:methanol (9:1). It is evident (Fig. 3) that active substance from the fraction on rechromatogram 4 exhibits both antibacterial and antifungal activities whereas active

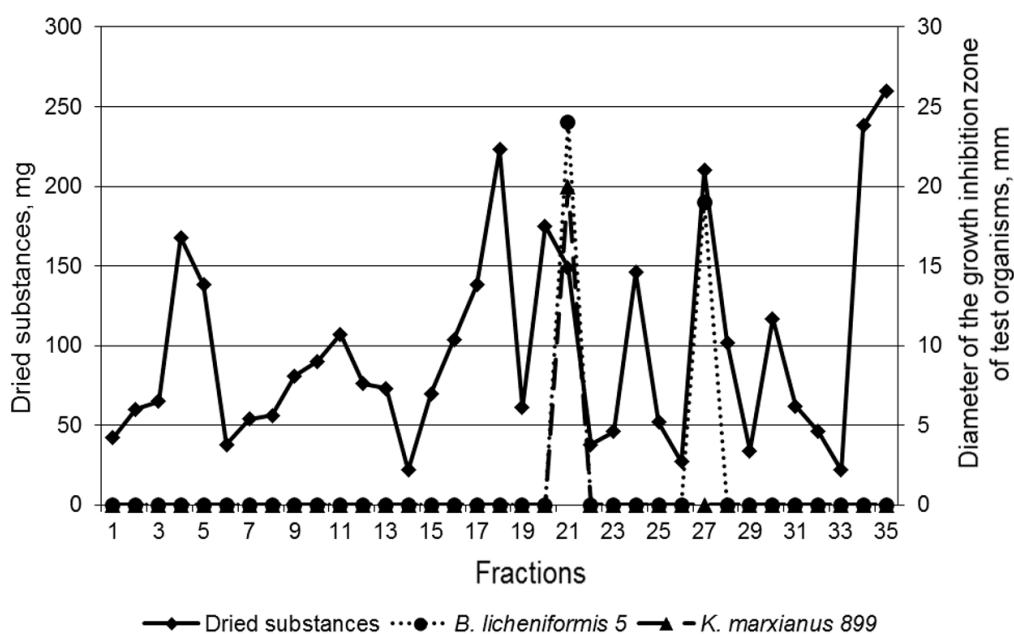


Fig. 1. Elution profile of preparation from *A. niveus* 2411

substance from this fraction on rechromatogram 7 displays activity only against *B. licheniformis* 5 similar to fraction 27 from Fig. 1.

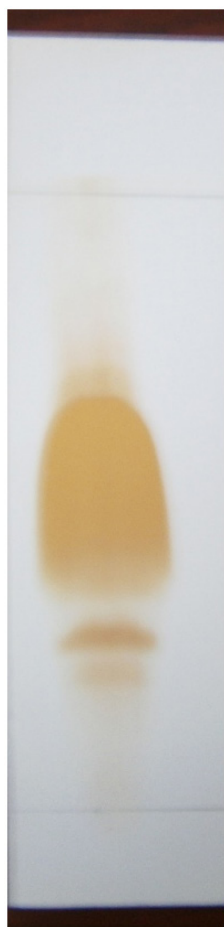


Fig. 2. TLC of preparation from fraction 21

Presented on Fig. 4 TLC of obtained preparations from fractions 4 and 7 in two solvent systems (hexane:benzol:methanol (10:10:3) and ether:toluene:acetone (2:2:1)) confirmed the presence of two substances with different chromatographic mobility and spectrum of biological activity in initial fraction 21.

Preparation from fraction 4 in two used solvent systems was presented by single spot with Rf 0.51 and 0.81, respectively, and showed both antifungal activity against *K. marxianus* 899 and antibacterial activity against *B. licheniformis* 5. However, the preparation from fraction 7 under same conditions was presented by single spot with Rf 0.38 and 0.48, respectively, and displayed antibacterial activity only against *B. licheniformis* 5. Bioautographic confirmation of biological activity of obtained preparation is shown on Fig. 5.

For additional purification the active substances were recrystallized from benzol and labelled as AN4 and AN7, respectively. Spectra of their biological activity are presented on Fig. 6. It was also shown that substance AN4 from fraction 4 displayed high phytotoxic activity against green alga of *Chlorella* genus (Fig. 7). Obtained preparations AN4 and AN7 did not show any reaction in rabbit skin test.

Elemental analyses of these substances showed the absence of nitrogen, sulphur and halogen atoms in their structure and the presence of main elements of organic substances, namely carbon, hydrogen and oxygen.

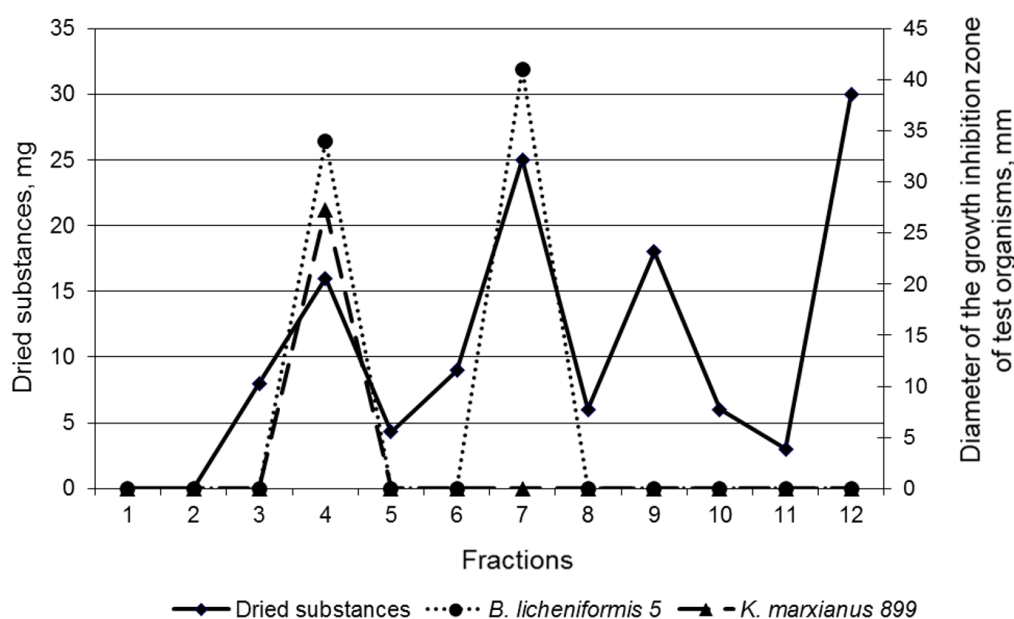


Fig. 3. Elution profile of preparation from fraction 21

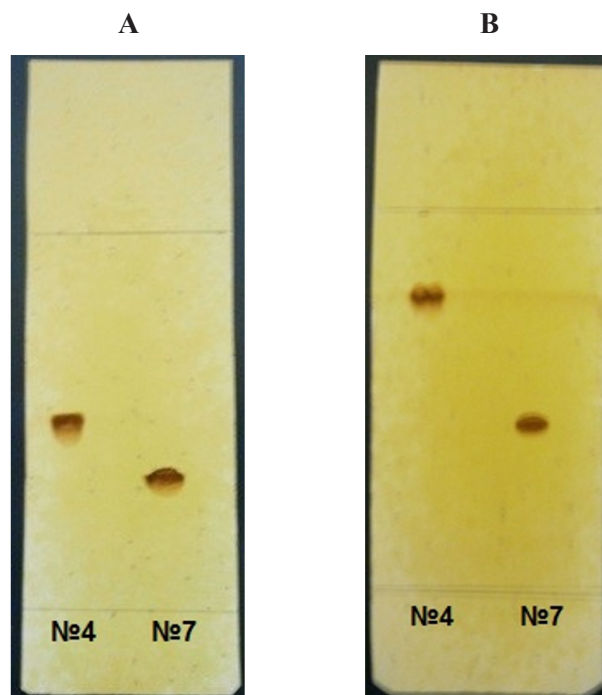


Fig. 4. TLC of preparations from fractions 4 and 7 in solvent systems: a) hexane:benzol:methanol (10:10:3); b) ether:toluene:acetone (2:2:1)

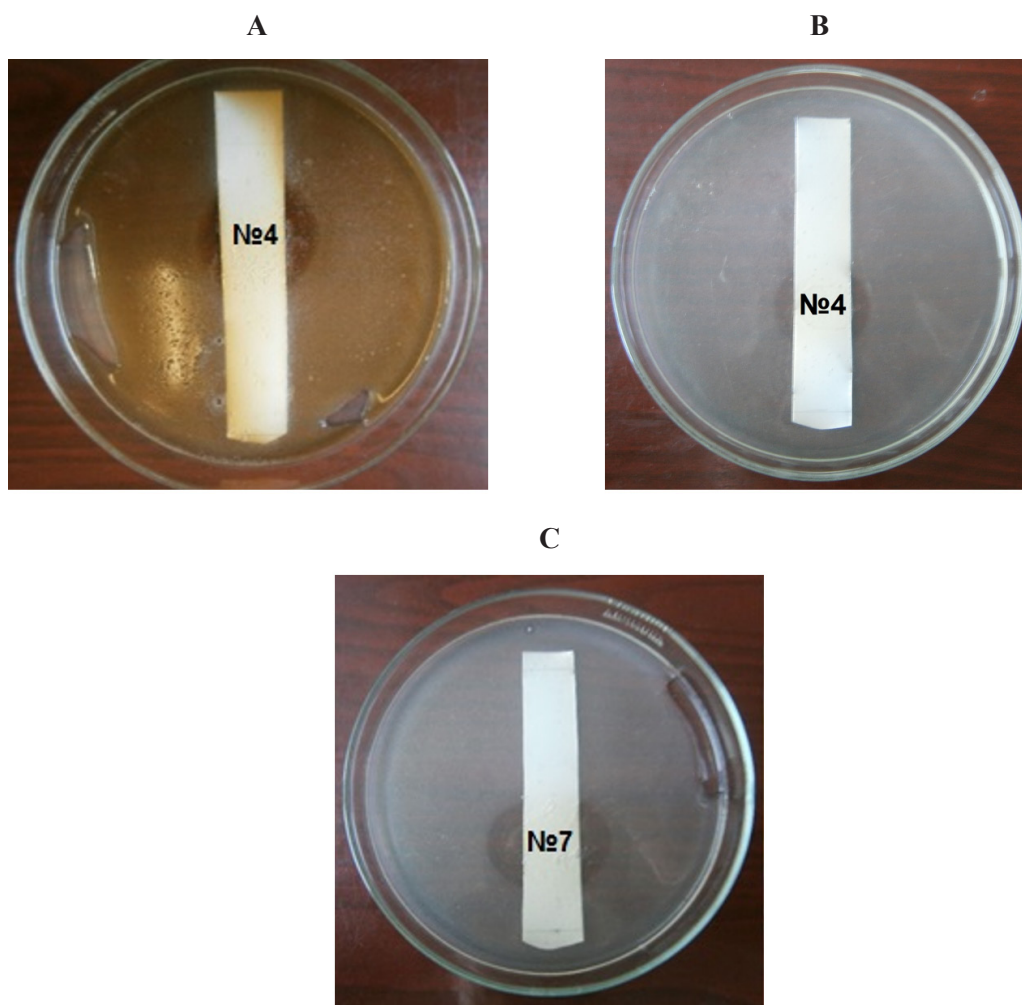
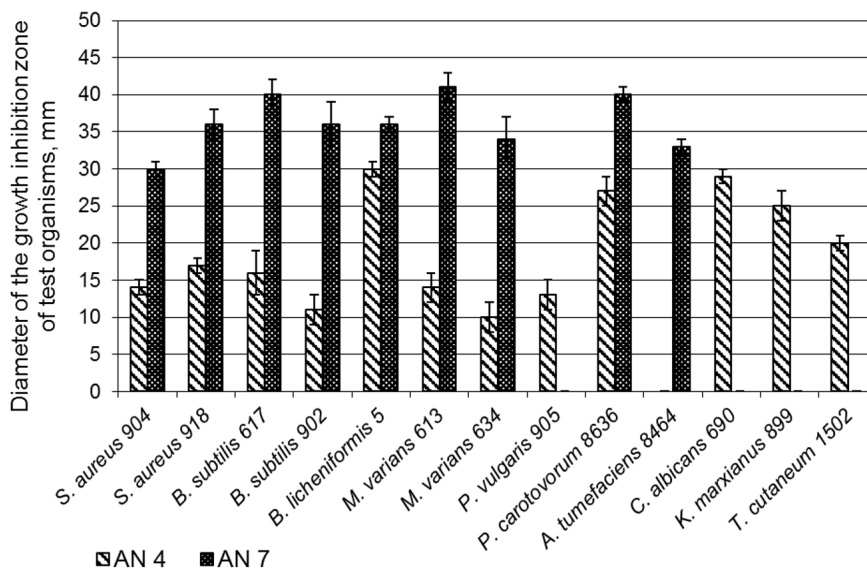
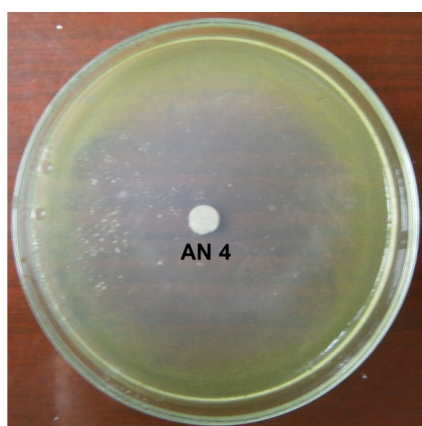


Fig. 5. TLC-bioautography of preparations from fractions 4 and 7 against test-cultures: a) *K. marxianus* 899; b) and c) *B. licheniformis* 5



**Fig. 6. Biological activity of substances AN4 and AN7**



**Fig. 7. Phytotoxic activity of substance AN4 against *Chlorella vulgaris* 189**

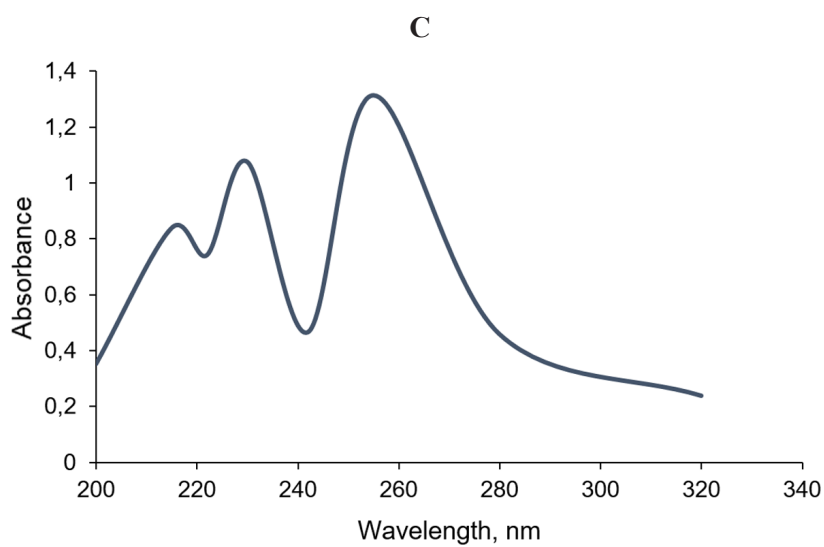
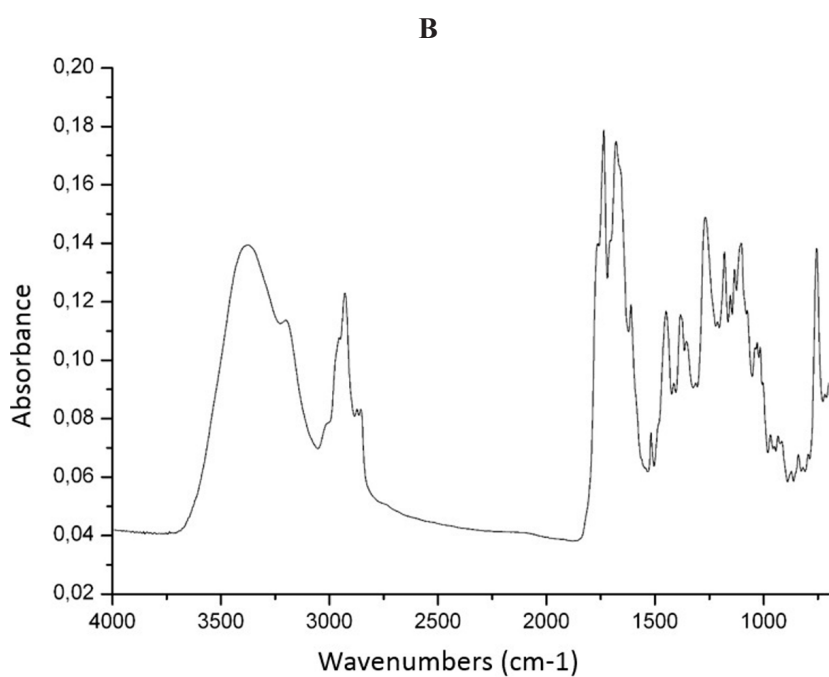
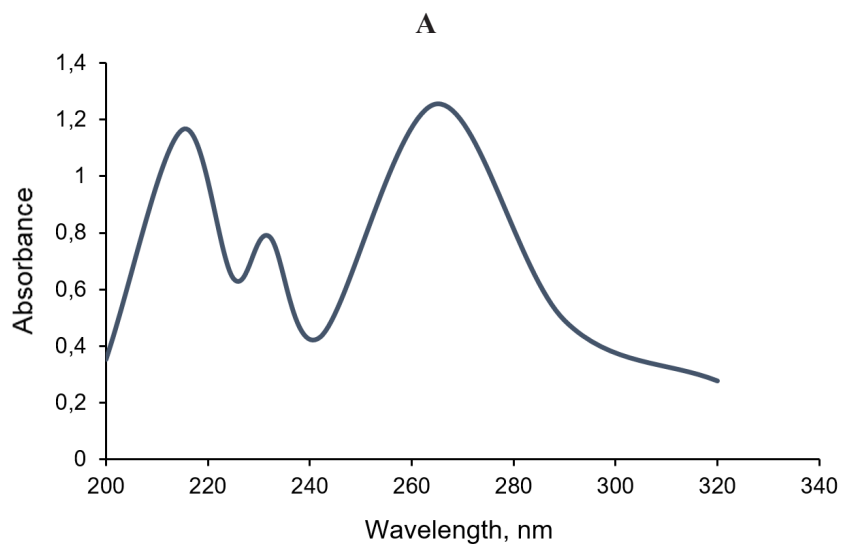
The spectrum analyses (Fig. 8) of studied substances revealed the similarity of their structure. In particular, they have two same absorption maxima in UV-rang at wavelengths 215 and 231 nm but some differences in longwave rang of UV-spectrum where the substance AN4 has absorption maximum at 255 nm and substance AN7 – at 265 nm.

The comparison of obtained data with table levels of absorption maxima of the main classes of organic substances in 200–800 nm diapason allowed to suggest the presence of unsaturated carboxylic acid, cyclic diene as well as derivative of benzol in the structure of substance AN7 [44]. In visible light spectral range any absorption maxima were not marked that is also evidenced by the absence of coloration of the sample.

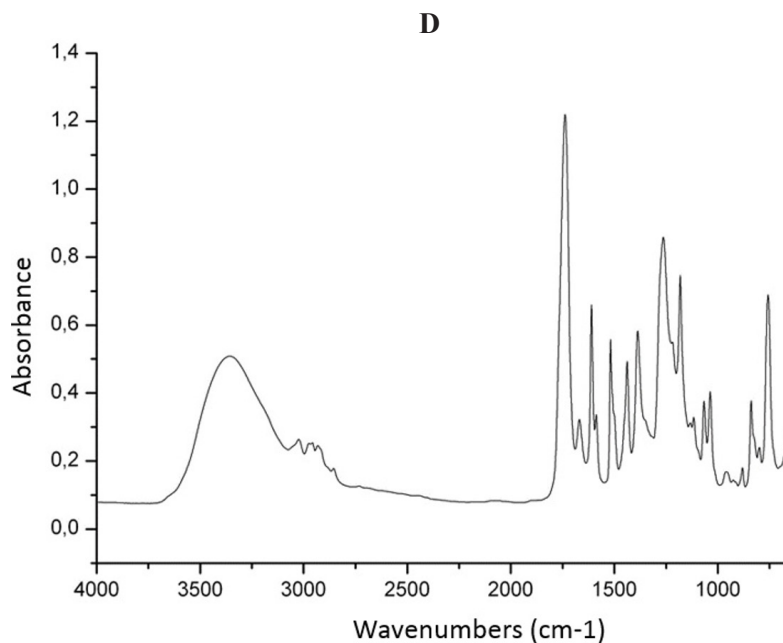
The IR-spectra of studied substances (Fig. 8) were also alike. The absorption maxima pointed on the presence of double bonds, derivatives of carboxylic acids, aromatic compounds including methylbenzene, acetals, esters, stereoisomers of alkanes and alkenes. As following from the obtained data, the spectrum characteristics of AN4 and AN7 confirm their aromatic character, cyclic structure, the presence of double bonds and methyl, aromatic and carboxylic groups and the necessity of additional chemical identification.

**Discussion.** This step of investigations was devoted to isolation and purification of biologically active metabolites of *A. niveus* 2411 that displayed antibacterial, antifungal and phytotoxic activities in previous studies [31]. As a result, two substances in crystalline form (arbitrarily called AN4 and AN7) with different spectrum of biological activity against indicator test-cultures were obtained.

Studied physical-chemical characteristics of obtained preparation allow suggesting the presence of certain chemical groups in the structures of AN4 and AN7 but not allowing to judge on this stage of investigation about their entire structures. At the same time, our data are compared with isolated earlier and described in literature biologically active metabolites of *Aspergillus* section *Terrei* [9]. It is apparent that obtained by us substances possess structural similarity with citrinin (C<sub>13</sub>H<sub>14</sub>O<sub>5</sub>, IUPAC: (3R,4S)-4,6-dihydro-8-hydroxy-3,4,5-trimethyl-6-oxo-3H-2-benzopyran-7-carboxylic acid) or with its derivatives. The structural formula of this mycotoxin is presented on Figure 9. It is

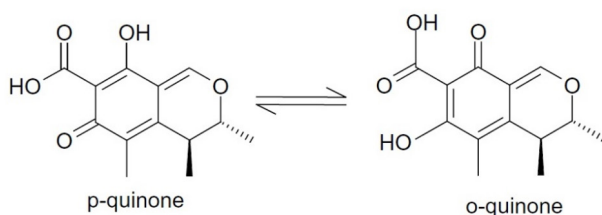


**Fig. 8. Absorption spectrum of AN4 preparation: a) UV-absorption, b) IR-absorption; and absorption spectrum of AN7 preparation: c) UV-absorption; d) IR-absorption**



**Fig. 8. Panel d**

remarkably that R.A. Samson et al. pointed in 2011 on the fact of biosynthesis of this particular mycotoxin, which, in contrast to the other three metabolites of *A. niveus* mentioned by the authors, had broad-spectrum antibiotic activity [9].



**Fig. 9. Structural formula of citrinin isomers [45]**

As for biological properties of citrinin it is known that this substance displays antibiotic, antifungal, antiprotozoal properties, and possesses potential anticancer effect [45]. Besides that, this mycotoxin is also known as a hepato-nephrotoxin in a wide range of species [46]. There are some data that spectral characteristics of citrinin derivatives slightly differ by absorption maxima in UV- and IR-range of spectrum and as a consequence can have an influence on physical-chemical and biological properties of these substances that is manifested by different sensitivity of test-microorganisms to them [47], and also affects the level of their toxic action. Antibiotic and antifungal properties of substances obtained by us are analogous to those of citrinin derivatives but in our case none of the two compounds cause even hyperaemia in rabbit skin

test and therefore display any dermatocidal action and toxigenic properties that are characteristic for citrinin derivatives described by other researchers [47, 48]. This fact suggests that isolated by us compounds AN4 and AN7 are new and undescribed earlier biologically active metabolites of *A. niveus* with antagonistic activity.

**Conclusions.** Obtained data showed that antibiotic activity of *Aspergillus niveus* 2411 depend on the complex of biologically active metabolites with different biological and physicochemical properties. Two compounds AN4 and AN7 were isolated and purified from the fungal cultural filtrate of *A. niveus* 2411. The data of IR and UV spectra of these compounds and their profiles of biological activity don't have significant differences from those of citrinin – a metabolite of *A. niveus* with antibiotic properties. However, based on the results obtained and comparisons with the data of other authors on metabolites of *A. niveus*, we suggest that the substances we isolated may be derivatives of citrinin. The necessity of further investigations of *A. niveus* 2411 metabolites is obvious. These studies will answer the questions about the nature of these structures for their final chemical identification as well as their action mechanism on cells of different test-organisms including cancer cells.

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## НОВІ БІОЛОГІЧНО АКТИВНІ МЕТАБОЛІТИ *ASPERGILLUS NIVEUS* 2411

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### Резюме

Фармакологічна наука зараз має у своєму розпорядженні значну кількість сполук, що проявляють антибіотичну активність. У багатьох з них досліджено властивості та ідентифіковано хімічні структури, деякі з них знайшли практичне застосування. Але основним рушієм для подальшого пошуку нових антибіотичних сполук є набута резистентність патогенних організмів. В попередніх дослідженнях нашу увагу привернула антибактеріальна активність гриба *Aspergillus niveus* – відомого продуцента широкого спектра ферментів. Таким чином, представлене дослідження є продовженням циклу робіт, присвячених пошуку нових біологічно активних речовин. **Мета.** Ізолювання, очистка, отримання в кристалічному вигляді біологічно активних метаболітів(у) гриба *A. niveus* 2411 та дослідження його (їхніх) фізико-хімічних властивостей і біологічної активності. **Методи.** Штам *A. niveus* 2411 вирощували у рідкому середовищі Чапека-Докса за температури 26 °С впродовж 14 діб. Виділення активних метаболітів з культурального фільтрату проводили за універсальною схемою, яка включала екстракцію; первинне очищення від білкових, ліпідних і пігментних домішок; фракціонування за допомогою колонкової хроматографії і перекристалізацію отриманих активних речовин. Отримані речовини пропускали через сульфат натрію безводний; частково очищений екстракт випаровували і фракціонували за допомогою двоступеневої колонкової хроматографії. Як сорбент для колонкової хроматографії використовували силікагель L II ст. активності за Брокманом, розмір частинок

100–160 мкм (Lachema, Чехія). Як рухомих фаз використовували окремі розчинники та їх системи в порядку зростання полярності. Наявність активних речовин в отриманих фракціях визначали методом тонкошарової хроматографії та оцінювали їхню антибіотичну активність щодо індикаторних тест-культур методом дифузії в агар. Активні фракції об'єднували і випаровували під вакуумом за 50 °С; активні сполуки перекристалізовували. Фізико-хімічні і спектральні характеристики визначали загальноприйнятими методами; спектр біологічної активності отриманих препаратів визначали методом лунок в агарі. **Результати.** У кристалічному вигляді отримано дві сполуки, які проявляли антибіотичну активність різного спектру дії щодо індикаторних тест-культур: AN4, що проявляла антибактеріальну (*Bacillus licheniformis* 5, *B. subtilis* 617 і 902, *Staphylococcus aureus* 918, *Micrococcus varians* 613 і 634, *Proteus vulgaris* 905, *Pectobacterium carotovorum* 8636), антифунгальну (*Kluyveromyces marxianus* 899, *Candida albicans* 690, *Trichosporon cutaneum* 1502) і фітотоксичну (10 штамів видів роду *Chlorella*) активності; та AN7, що проявляла лише антибактеріальну (*B. licheniformis* 5, *B. subtilis* 617 і 902, *S. aureus* 918, *M. varians* 613 і 634, *P. carotovorum* 8636, *A. tumefaciens* 8464) активність. Жодна з отриманих сполук не мала дерматоцидної дії у шкірній пробі на кролику і не проявляла токсичних властивостей. Спектральні характеристики виявили подібність виділених AN4 і AN7 та вказали на ароматичний характер цих сполук, що мають циклічну структуру, подвійні зв'язки, метильні, ароматичну і карбоксильну групи. **Висновки.** Отримані дані показали, що антибіотичні властивості дослідженого гриба *A. niveus* 2411 обумовлені низкою біологічно активних метаболітів, що відрізняються за біологічними і фізико-хімічними властивостями. З культурального фільтрату гриба виділено та очищено дві сполуки AN4 і AN7. Дані ІЧ- та УФ-спектрів цих сполук, а також їхні профілі біологічної активності відрізняються незначною мірою від таких цитриніну – метаболіту *A. niveus* з антибіотичними властивостями. Проте, з огляду на отримані результати і порівняння з даними інших авторів щодо метаболітів *A. niveus* ми припускаємо, що виділені нами речовини можуть бути похідними цитриніну.

**Ключові слова:** *Aspergillus niveus*, антибіотична активність, метаболіти, похідні цитриніну.

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