

TOPOLOGICAL CLASSIFICATION OF ORIENTED CYCLES OF LINEAR MAPPINGS

ТОПОЛОГІЧНА КЛАСИФІКАЦІЯ ОРІЄНТОВАНИХ ЦИКЛІВ ЛІНІЙНИХ ВІДОБРАЖЕНЬ

We consider oriented cycles of linear mappings over the fields of real and complex numbers. The problem of their classification to within the homeomorphisms of spaces is reduced to the problem of classification of linear operators to within the homeomorphisms of spaces studied by N. Kuiper and J. Robbin in 1973.

Розглядаються орієнтовані цикли лінійних відображень над полями дійсних та комплексних чисел. Задача їхньої класифікації з точністю до гомеоморфізмів просторів зводиться до задачі класифікації лінійних операторів з точністю до гомеоморфізмів просторів, яку вивчали Н. Койпер та Дж. Роббін у 1973 році.

1. Introduction. We consider the problem of topological classification of oriented cycles of linear mappings.

Let

$$\mathcal{A}: \quad V_1 \xrightarrow{A_1} V_2 \xrightarrow{A_2} \dots \xrightarrow{A_{t-2}} V_{t-1} \xrightarrow{A_{t-1}} V_t \xleftarrow{A_t} V_1 \quad (1)$$

and

$$\mathcal{B}: \quad W_1 \xrightarrow{B_1} W_2 \xrightarrow{B_2} \dots \xrightarrow{B_{t-2}} W_{t-1} \xrightarrow{B_{t-1}} W_t \xleftarrow{B_t} W_1 \quad (2)$$

be two oriented cycles of linear mappings of the same length t over a field \mathbb{F} . We say that a system $\varphi = \{\varphi_i : V_i \rightarrow W_i\}_{i=1}^t$ of bijections transforms \mathcal{A} to \mathcal{B} if all squares in the diagram

$$\begin{array}{ccccccc} V_1 & \xrightarrow{A_1} & V_2 & \xrightarrow{A_2} & \dots & \xrightarrow{A_{t-2}} & V_{t-1} & \xrightarrow{A_{t-1}} & V_t \\ \downarrow \varphi_1 & & \downarrow \varphi_2 & & & & \downarrow \varphi_{t-1} & & \downarrow \varphi_t \\ W_1 & \xrightarrow{B_1} & W_2 & \xrightarrow{B_2} & \dots & \xrightarrow{B_{t-2}} & W_{t-1} & \xrightarrow{B_{t-1}} & W_t \end{array} \quad (3)$$

are commutative; that is,

$$\varphi_2 A_1 = B_1 \varphi_1, \quad \dots, \quad \varphi_t A_{t-1} = B_{t-1} \varphi_{t-1}, \quad \varphi_1 A_t = B_t \varphi_t. \quad (4)$$

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Definition 1. Let \mathcal{A} and \mathcal{B} be cycles of linear mappings of the form (1) and (2) over a field \mathbb{F} .
 (i) \mathcal{A} and \mathcal{B} are isomorphic if there exists a system of linear bijections that transforms \mathcal{A} to \mathcal{B} .
 (ii) \mathcal{A} and \mathcal{B} are topologically equivalent if $\mathbb{F} = \mathbb{C}$ or \mathbb{R} ,

$$V_i = \mathbb{F}^{m_i}, \quad W_i = \mathbb{F}^{n_i} \quad \text{for all } i = 1, \dots, t,$$

and there exists a system of homeomorphisms¹ that transforms \mathcal{A} to \mathcal{B} .

The direct sum of cycles (1) and (2) is the cycle

$$\mathcal{A} \oplus \mathcal{B}: \quad V_1 \oplus W_1 \xrightarrow{A_1 \oplus B_1} V_2 \oplus W_2 \xrightarrow{A_2 \oplus B_2} \dots \xrightarrow{A_{t-1} \oplus B_{t-1}} V_t \oplus W_t \xrightarrow{A_t \oplus B_t} V_1 \oplus W_1$$

The vector $\dim \mathcal{A} := (\dim V_1, \dots, \dim V_t)$ is the dimension of \mathcal{A} . A cycle \mathcal{A} is *indecomposable* if its dimension is nonzero and \mathcal{A} cannot be decomposed into a direct sum of cycles of smaller dimensions.

A cycle \mathcal{A} is *regular* if all A_1, \dots, A_t are bijections, and *singular* otherwise. Each cycle \mathcal{A} possesses a *regularizing decomposition*

$$\mathcal{A} = \mathcal{A}_{\text{reg}} \oplus \mathcal{A}_1 \oplus \dots \oplus \mathcal{A}_r, \tag{5}$$

in which \mathcal{A}_{reg} is regular and all $\mathcal{A}_1, \dots, \mathcal{A}_r$ are indecomposable singular. An algorithm that constructs a regularizing decomposition of a nonoriented cycle of linear mappings over \mathbb{C} and uses only unitary transformations was given in [3].

The following theorem reduces the problem of topological classification of oriented cycles of linear mappings to the problem of topological classification of linear operators.

Theorem 1. (a) Let $\mathbb{F} = \mathbb{C}$ or \mathbb{R} , and let

$$\mathcal{A}: \quad \mathbb{F}^{m_1} \xrightarrow{A_1} \mathbb{F}^{m_2} \xrightarrow{A_2} \dots \xrightarrow{A_{t-2}} \mathbb{F}^{m_{t-1}} \xrightarrow{A_{t-1}} \mathbb{F}^{m_t} \xrightarrow{A_t} \mathbb{F}^{m_1} \tag{6}$$

and

$$\mathcal{B}: \quad \mathbb{F}^{n_1} \xrightarrow{B_1} \mathbb{F}^{n_2} \xrightarrow{B_2} \dots \xrightarrow{B_{t-2}} \mathbb{F}^{n_{t-1}} \xrightarrow{B_{t-1}} \mathbb{F}^{n_t} \xrightarrow{B_t} \mathbb{F}^{n_1} \tag{7}$$

be topologically equivalent. Let

$$\mathcal{A} = \mathcal{A}_{\text{reg}} \oplus \mathcal{A}_1 \oplus \dots \oplus \mathcal{A}_r, \quad \mathcal{B} = \mathcal{B}_{\text{reg}} \oplus \mathcal{B}_1 \oplus \dots \oplus \mathcal{B}_s \tag{8}$$

be their regularizing decompositions. Then their regular parts \mathcal{A}_{reg} and \mathcal{B}_{reg} are topologically equivalent, $r = s$, and after a suitable renumbering their indecomposable singular summands \mathcal{A}_i and \mathcal{B}_i are isomorphic for all $i = 1, \dots, r$.

¹By [1] (Corollary 19.10) or [2] (Section 11) $m_1 = n_1, \dots, m_t = n_t$.

(b) Each regular cycle \mathcal{A} of the form (6) is isomorphic to the cycle

$$\mathcal{A}' : \quad \mathbb{F}^{m_1} \xrightarrow{1} \mathbb{F}^{m_2} \xrightarrow{1} \dots \xrightarrow{1} \mathbb{F}^{m_{t-1}} \xrightarrow{1} \mathbb{F}^{m_t} \xrightarrow{A_t \dots A_2 A_1} \mathbb{F}^{m_1} . \quad (9)$$

If cycles (6) and (7) are regular, then they are topologically equivalent if and only if the linear operators $A_t \dots A_2 A_1$ and $B_t \dots B_2 B_1$ are topologically equivalent (as the cycles $\mathbb{F}^{m_1} \hookrightarrow A_t \dots A_2 A_1$ and $\mathbb{F}^{m_1} \hookrightarrow B_t \dots B_2 B_1$ of length 1).

Kuiper and Robbin [4, 5] gave a criterion for topological equivalence of linear operators over \mathbb{R} without eigenvalues that are roots of 1. Budnitska [6] (Theorem 2.2) found a canonical form with respect to topological equivalence of linear operators over \mathbb{R} and \mathbb{C} without eigenvalues that are roots of 1. The problem of topological classification of linear operators with an eigenvalue that is a root of 1 was studied by Kuiper and Robbin [4, 5], Cappell and Shaneson [7–11], and Hsiang and Pardon [12]. The problem of topological classification of affine operators was studied in [6, 13–16]. The topological classifications of pairs of counter mappings $V_1 \rightleftarrows V_2$ (i.e., oriented cycles of length 2) and of chains of linear mappings were given in [17] and [18].

2. Oriented cycles of linear mappings up to isomorphism. This section is not topological; we construct a regularizing decomposition of an oriented cycle of linear mappings over an arbitrary field \mathbb{F} .

A classification of cycles of length 1 (i.e., linear operators $V \hookrightarrow A$) over any field is given by the Frobenius canonical form of a square matrix under similarity. The oriented cycles of length 2 (i.e., pairs of counter mappings $V_1 \rightleftarrows V_2$) are classified in [19, 20]. The classification of cycles of arbitrary length and with arbitrary orientation of its arrows is well known in the theory of representations of quivers; see [21] (Section 11.1).

For each $c \in \mathbb{Z}$, we denote by $[c]$ the natural number such that

$$1 \leq [c] \leq t, \quad [c] \equiv c \pmod{t}.$$

By the Jordan theorem, for each indecomposable singular cycle $V \hookrightarrow A$ there exists a basis e_1, \dots, e_n of V in which the matrix of A is a singular Jordan block. This means that the basis vectors form a *Jordan chain*

$$e_1 \xrightarrow{A} e_2 \xrightarrow{A} e_3 \xrightarrow{A} \dots \xrightarrow{A} e_n \xrightarrow{A} 0.$$

In the same manner, each indecomposable singular cycle \mathcal{A} of an arbitrary length t also can be given by a chain

$$e_p \xrightarrow{A_p} e_{p+1} \xrightarrow{A_{[p+1]}} e_{p+2} \xrightarrow{A_{[p+2]}} \dots \xrightarrow{A_{[q-1]}} e_q \xrightarrow{A_{[q]}} 0$$

in which $1 \leq p \leq q \leq t$ and for each $l = 1, 2, \dots, t$ the set $\{e_i | i \equiv l \pmod{t}\}$ is a basis of V_l ; see [21] (Section 11.1). We say that this chain ends in $V_{[q]}$ since $e_q \in V_{[q]}$. The number $q - p$ is called the *length* of the chain.

For example, the chain

$$\begin{array}{ccccccc} & & & & e_4 & \rightarrow & e_5 \\ & & & & \swarrow & & \\ e_6 & \rightleftarrows & e_7 & \rightarrow & e_8 & \rightarrow & e_9 & \rightarrow & e_{10} \\ & & & & \swarrow & & \\ e_{11} & \rightleftarrows & e_{12} & \rightarrow & 0 & & \end{array}$$

3. Proof of Theorem 1. In this section, $\mathbb{F} = \mathbb{C}$ or \mathbb{R} .

(a) Let \mathcal{A} and \mathcal{B} be cycles (6) and (7). Let them be topologically equivalent; that is, \mathcal{A} is transformed to \mathcal{B} by a system $\{\varphi_i : \mathbb{F}^{m_i} \rightarrow \mathbb{F}^{n_i}\}_{i=1}^t$ of homeomorphisms. Let (8) be regularizing decompositions of \mathcal{A} and \mathcal{B} .

First we prove that their regular parts \mathcal{A}_{reg} and \mathcal{B}_{reg} are topologically equivalent. In notation (10),

$$\hat{A}_i = A_{[i+t-1]} \dots A_{[i+1]} A_i, \quad \hat{B}_i = B_{[i+t-1]} \dots B_{[i+1]} B_i.$$

Let z be a natural number that satisfies both $\hat{A}_i^z \mathbb{F}^{m_i} = \hat{A}_i^{z+1} \mathbb{F}^{m_i}$ and $\hat{B}_i^z \mathbb{F}^{n_i} = \hat{B}_i^{z+1} \mathbb{F}^{n_i}$ for all $i = 1, \dots, t$. By (3), the diagram

$$\begin{array}{ccc} \mathbb{F}^{m_i} & \xrightarrow{\hat{A}_i^z} & \mathbb{F}^{m_i} \\ \varphi_i \downarrow & & \downarrow \varphi_i \\ \mathbb{F}^{n_i} & \xrightarrow{\hat{B}_i^z} & \mathbb{F}^{n_i} \end{array}$$

is commutative. Then $\varphi_i \text{Im } \hat{A}_i^z = \text{Im } \hat{B}_i^z$ for all i . Therefore, the restriction $\hat{\varphi}_i : \text{Im } \hat{A}_i^z \rightarrow \text{Im } \hat{B}_i^z$ is a homeomorphism. The system of homeomorphisms $\hat{\varphi}_1, \dots, \hat{\varphi}_t$ transforms $\hat{\mathcal{A}}$ to $\hat{\mathcal{B}}$, which are the regular parts of \mathcal{A} and \mathcal{B} by Lemma 1(a).

Let us prove that $r = s$, and, after a suitable renumbering, \mathcal{A}_i and \mathcal{B}_i are isomorphic for all $i = 1, \dots, r$. Since all summands \mathcal{A}_i and \mathcal{B}_i with $i \geq 1$ can be given by chains of basic vectors, it suffices to prove that $n_{ij} = n'_{ij}$ for all i and j , where n'_{ij} is the number of singular summands $\mathcal{B}_1, \dots, \mathcal{B}_s$ in (8) given by chains of length j that end in the i th space \mathbb{F}^{n_i} .

Due to (11), it suffices to prove that the numbers k_{ij} are invariant with respect to topological equivalence.

In the same manner as k_{ij} is constructed by \mathcal{A} , we construct k'_{ij} by \mathcal{B} . Let us fix i and j and prove that $k_{ij} = k'_{ij}$. Write

$$A := A_{[i+j]} \dots A_{[i+1]} A_i, \quad B := B_{[i+j]} \dots B_{[i+1]} B_i, \quad q := [i + j + 1]$$

and consider the commutative diagram

$$\begin{array}{ccc} \mathbb{F}^{m_i} & \xrightarrow{A} & \mathbb{F}^{m_q} \\ \varphi_i \downarrow & & \downarrow \varphi_q \\ \mathbb{F}^{n_i} & \xrightarrow{B} & \mathbb{F}^{n_q} \end{array} \tag{12}$$

which is a fragment of (3). We have

$$k_{ij} = \dim \text{Ker } A = m_i - \dim \text{Im } A, \quad k'_{ij} = n_i - \dim \text{Im } B.$$

Because $\varphi_i : \mathbb{F}^{m_i} \rightarrow \mathbb{F}^{n_i}$ is a homeomorphism, $m_i = n_i$ (see [1], Corollary 19.10, or [2], Section 11). Since the diagram (12) is commutative, $\varphi_q(\text{Im } A) = \text{Im } B$. Hence, the vector spaces $\text{Im } A$ and $\text{Im } B$ are homeomorphic, and so $\dim \text{Im } A = \dim \text{Im } B$, which proves $k_{ij} = k'_{ij}$.

(b) Each regular cycle \mathcal{A} of the form (6) is isomorphic to the cycle \mathcal{A}' of the form (9) since the diagram

$$\begin{array}{ccccccc}
 \mathbb{F}^{m_1} & \xrightarrow{\mathbb{1}} & \mathbb{F}^{m_2} & \xrightarrow{\mathbb{1}} & \mathbb{F}^{m_3} & \xrightarrow{\mathbb{1}} & \mathbb{F}^{m_4} & \xrightarrow{\mathbb{1}} & \dots & \xrightarrow{\mathbb{1}} & \mathbb{F}^{m_t} \\
 \downarrow \mathbb{1} & & \downarrow A_1 & & \downarrow A_2 A_1 & & \downarrow A_3 A_2 A_1 & & & & \downarrow A_{t-1} \dots A_2 A_1 \\
 \mathbb{F}^{m_1} & \xrightarrow{A_1} & \mathbb{F}^{m_2} & \xrightarrow{A_2} & \mathbb{F}^{m_3} & \xrightarrow{A_3} & \mathbb{F}^{m_4} & \xrightarrow{A_4} & \dots & \xrightarrow{A_{t-1}} & \mathbb{F}^{m_t} \\
 & & & & & & & & & & \downarrow A_t
 \end{array} \quad (13)$$

is commutative.

Let \mathcal{A} and \mathcal{B} be regular cycles of the form (6) and (7). Let them be topologically equivalent; that is, \mathcal{A} is transformed to \mathcal{B} by a system $\varphi = (\varphi_1, \dots, \varphi_t)$ of homeomorphisms; see (3). By (4),

$$\varphi_1 A_t A_{t-1} \dots A_1 = B_t \varphi_t A_{t-1} \dots A_1 = B_t B_{t-1} \varphi_{t-1} A_{t-2} \dots A_1 = \dots = B_t B_{t-1} \dots B_1 \varphi_1,$$

and so the cycles $\mathbb{F}^{m_1} \hookrightarrow A_t \dots A_2 A_1$ and $\mathbb{F}^{m_1} \hookrightarrow B_t \dots B_2 B_1$ are topologically equivalent via φ_1 .

Conversely, let $\mathbb{F}^{m_1} \hookrightarrow A_t \dots A_2 A_1$ and $\mathbb{F}^{m_1} \hookrightarrow B_t \dots B_2 B_1$ be topologically equivalent via some homeomorphism φ_1 , and let \mathcal{A}' and \mathcal{B}' be constructed by \mathcal{A} and \mathcal{B} as in (9). Then \mathcal{A}' and \mathcal{B}' are topologically equivalent via the system of homeomorphisms $\varphi = (\varphi_1, \varphi_1, \dots, \varphi_1)$. Let ε and δ be systems of linear bijections that transform \mathcal{A}' to \mathcal{A} and \mathcal{B}' to \mathcal{B} ; see (13). Then \mathcal{A} and \mathcal{B} are topologically equivalent via the system of homeomorphisms $\delta \varphi \varepsilon^{-1}$.

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