

**DENSITY AND CAPACITY OF BALLEANS GENERATED BY FILTERS****ЩІЛЬНІСТЬ ТА ЄМНІСТЬ БОЛЕАНІВ, ЗГЕНЕРОВАНИХ ФІЛЬТРАМИ**

We consider a ballean  $\mathbb{B} = (X, P, B)$  with an infinite support  $X$  and a free filter  $\phi$  on  $X$  and define  $B_{P \times \phi}(x, (\alpha, F))$  for every  $\alpha \in P$  and  $F \in \phi$ . The ballean  $(X, P \times \phi, B_{P \times \phi})$  will be called the *ballean-filter mix* of  $\mathbb{B}$  and  $\phi$  and denoted by  $\mathbb{B}(B, \phi)$ . It was introduced in [O. V. Petrenko, I. V. Protasov, *Balleans and filters*, Mat. Stud., **38**, № 1, 3–11 (2012)] and was used to construction of a non-metrizable Fréchet group ballean. In this paper some cardinal invariants are compared. In particular, we give a partial answer to the question: if we mix an ordinal unbounded ballean with a free filter of the subsets of its support, will the mix-structure's density be equal to its capacity, as it holds in the original balleans?

Розглядається болеан  $\mathbb{B} = (X, P, B)$  з нескінченним супортом  $X$  і вільний фільтр  $\phi$  на  $X$  та визначається  $B_{P \times \phi}(x, (\alpha, F))$  для кожного  $\alpha \in P$  та  $F \in \phi$ . Болеан  $(X, P \times \phi, B_{P \times \phi})$  називають *болеан-фільтр міксом* для  $\mathbb{B}$  і  $\phi$  та позначають  $\mathbb{B}(B, \phi)$ . Таку термінологію було введено у статті [O. V. Petrenko, I. V. Protasov, *Balleans and filters*, Mat. Stud., **38**, № 1, 3–11 (2012)], де її застосовано для побудови болеана групи Фреше без метризації. У цій роботі порівнюються деякі кардинальні інваріанти. Зокрема, наведено часткову відповідь на питання: якщо є мікс ординально необмеженого болеана з вільним фільтром підмножин його супорту, то чи буде щільність мікс-структури рівною її ємності, як це має місце для оригінальних болеанів?

**1. Introduction.** Given sets  $X$ ,  $P$  and a function  $B: X \times P \rightarrow \mathcal{P}(X)$ , a triple  $\mathbb{B} = (X, P, B)$  is called a *ball structure* with a support  $X$ , a set of radiuses  $P$  and a ball function  $B$ . If  $(x, \alpha) \in X \times P$ , then  $B(x, \alpha)$  is called a *ball of radius  $\alpha$  around  $x$* . Consistently if  $A \subseteq X$  and  $\alpha \in P$ , then  $\bigcup \{B(x, \alpha) : x \in A\}$  is called a *ball of radius  $\alpha$  around the set  $A$* .

If  $B$  is a ball function, then a *dual ball function*  $B^*$  is defined as follows: for every  $x \in X$  and  $\alpha \in P$ , we put

$$B^*(x, \alpha) = \{y \in X : x \in B(y, \alpha)\}.$$

The ball structure  $\mathbb{B}^* = (X, P, B^*)$  is called *dual* to the structure  $\mathbb{B} = (X, P, B)$ .

A ball structure  $\mathbb{B} = (X, P, B)$  is called *upper symmetric* if for any  $\alpha, \beta \in P$  there exist  $\alpha', \beta' \in P$  such that

$$B(x, \alpha) \subseteq B^*(x, \alpha') \quad \text{and} \quad B^*(x, \beta) \subseteq B(x, \beta')$$

for every  $x \in X$ . A ball structure  $\mathbb{B} = (X, P, B)$  is called *upper multiplicative* if, for any  $\alpha, \beta \in P$ , there exists  $\gamma \in P$  such that

$$B(B(x, \alpha), \beta) \subseteq B(x, \gamma)$$

for every  $x \in X$ . A ball structure which is both upper symmetric and upper multiplicative is called a *ballean*. Note that if  $\mathbb{B}$  is upper symmetric/multiplicative, then  $\mathbb{B}^*$  is upper symmetric/multiplicative.

Let  $\mathbb{B} = (X, P, B)$  and  $\mathbb{B}' = (X', P', B')$  be balleans. A mapping  $f: X \rightarrow X'$  is called:

*$\prec$ -mapping* if, for any  $\alpha \in P$ , there exists  $\alpha' \in P'$  such that  $f(B(x, \alpha)) \subseteq B'(f(x), \alpha')$  for every  $x \in X$ ;

*asymorphism* (or *isomorphism* as in [3]) if  $f$  is a bijection and both  $f$  and  $f^{-1}$  are  $\prec$ -mappings.

If  $f: X \rightarrow X'$  is a  $\prec$ -mapping we will write  $\mathbb{B} \prec \mathbb{B}'$ . Balleans  $\mathbb{B} = (X, P, B)$  and  $\mathbb{B}' = (X', P', B')$  are called *asymorphic* if there exists an asymorphism  $f: X \rightarrow X'$ . We will write  $\mathbb{B} = \mathbb{B}'$  if  $X = X'$  and  $\mathbb{B}$  and  $\mathbb{B}'$  are asymorphic. Note that  $\mathbb{B} = \mathbb{B}^*$  if and only if a ball structure  $\mathbb{B}$  is upper symmetric. Of course for every balleans we have  $\mathbb{B} = \mathbb{B}^*$ . A ballean  $\mathbb{B} = (X, P, B)$  is called *symmetric* if  $B(x, \alpha) = B^*(x, \alpha)$  for any two  $x \in X$  and  $\alpha \in P$ . Recall that every ballean is asymorphic to some symmetric ballean [1].

Given  $\mathbb{B} = (X, P, B)$ , we define natural preordering  $\leq$  on  $P$  by the rule:  $\alpha \leq \beta$  iff  $B(x, \alpha) \subseteq B(x, \beta)$  for every  $x \in X$ . A subset  $P' \subseteq P$  is called *cofinal* if, for each  $\alpha \in P$  there exists  $\beta \in P'$  such that  $\alpha \leq \beta$ . A ballean  $\mathbb{B} = (X, P, B)$  is called *connected* if, for every two points  $x$  and  $y$  of  $X$ , there exists  $\alpha = \alpha(x, y) \in P$  such that  $y \in B(x, \alpha)$ . The connectedness is an equivalence relation. A connected ballean  $\mathbb{B} = (X, P, B)$  is called *ordinal* if  $P$  contains a cofinal subset  $P'$  which is well-ordered by  $\leq$ . We put  $\text{cf}(\mathbb{B}) = \min \{\text{card}(R) : R \subseteq P \wedge R \text{ is cofinal in } \mathbb{B}\}$ .

Observe that if we replace  $P$  by its minimal cofinal subset  $P'$ , we get an asymorphic ballean [4, p. 175]. Hence, we can replace  $P$  by a regular cardinal  $\rho = \text{cf card}(P)$  and write  $\mathbb{B}(X, \rho, B)$  in the place of  $\mathbb{B}(X, P, B)$ .

## 2. Examples.

**Example 1.** Let  $(X, d)$  be a metric space. Put  $B_d(x, \epsilon) = \{y \in X : d(x, y) \leq \epsilon\}$  for every  $\epsilon > 0$ . The ballean  $\mathbb{B}(X, d) = (X, \mathbb{R}^+, B_d)$  is called a *metric ballean*. Note that every metric ballean is ordinal.

A filter  $\phi$  on infinite set  $X$  is called *free* if  $\bigcap \phi = \emptyset$ . A free filter  $\phi$  on infinite set  $X$  is called *uniform* if  $\text{card}(Y) = \text{card}(X)$  for every  $Y \in \phi$ . If  $\text{card}(X) \geq \kappa \geq \omega$ , then the free filter of all subsets  $F \subseteq X$  such that  $\text{card}(X \setminus F) < \kappa$  will be denoted by  $\mathcal{F}_\kappa(X)$ .

**Example 2.** Let  $X$  be a set and  $\phi$  be a free filter on  $X$ . For any  $x \in X$  and  $F \in \phi$ , we put

$$B_\phi(x, F) = \begin{cases} X \setminus F, & \text{if } x \notin F, \\ \{x\}, & \text{if } x \in F. \end{cases}$$

Then  $\mathbb{B}(\phi) = (X, \phi, B_\phi)$  is a symmetric ballean.

Note that  $\chi(\phi) = \text{cf}(\mathbb{B}(\phi))$  for every free filter on an infinite set  $X$  [4, p. 23].

**Example 3.** Let  $\mathbb{B} = (X, P, B)$  be a ballean and  $\phi$  be a free filter on  $X$ . We put

$$B_\phi(x, F) = \begin{cases} B(x, \alpha) \setminus F, & \text{if } x \notin F, \\ \{x\}, & \text{if } x \in F. \end{cases}$$

Then the ball structure  $\mathbb{B}(B, \phi) = (X, P \times \phi, B_{P \times \phi})$  is a ballean. We will call it a *ballean-filter mix* of  $\mathbb{B}$  and  $\phi$  [2].

**Remark 1.** Note that:

- (a) if  $\phi$  and  $\psi$  are free filters on  $X$  such that  $\phi \subseteq \psi$ , then  $\mathbb{B}(B, \phi) \prec \mathbb{B}(B, \psi)$ ;
- (b)  $\mathbb{B}(B, \phi) \prec \mathbb{B}$  for every free filter  $\phi$  on  $X$ ;
- (c) if  $\mathbb{B} = (X, P, B)$ ,  $\mathbb{B}' = (X, P', B')$  and  $\mathbb{B} = \mathbb{B}'$ , then  $\mathbb{B}(B, \phi) = \mathbb{B}(B', \phi)$  for every free filter  $\phi$  on  $X$ .

**Example 4.** Given an uncountable set  $X$  and a regular cardinal  $\kappa < \text{card}(X)$ , we define  $\text{Seq}(\kappa)$  as a family of all subsets  $A$  of  $X$  such that  $\text{card}(A) < \kappa$ . We put

$$P_{\text{Seq}(\kappa)} = \{f : X \rightarrow \text{Seq}(\kappa) : \forall_{x \in X} (x \in f(x) \wedge \bigwedge \{y \in X : x \in f(y)\} \in \text{Seq}(\kappa))\}$$

and  $B_{\text{Seq}(\kappa)}(x, f) = f(x)$  for every  $(x, f) \in X \times P_{\text{Seq}(\kappa)}$ . Then, thanks to regularity of  $\kappa$ ,  $\mathbb{B}(\text{Seq}(\kappa)) = (X, P_{\text{Seq}(\kappa)}, B_{\text{Seq}(\kappa)})$  is a ballean.

**3. Subsets of balleans.** Given a ballean  $\mathbb{B} = (X, P, B)$ , a subset  $V \subseteq X$  is called:

*bounded* if there exist  $\alpha \in P$  and  $x \in X$  such that  $V \subseteq B(x, \alpha)$ ;

*unbounded* if  $V$  is not bounded;

*large* if there exists  $\alpha \in P$  such that  $X = B(V, \alpha)$ ;

*thick* if  $X \setminus V$  is not large;

$\alpha$ -*discrete* for  $\alpha \in P$  if  $\{B(v, \alpha) : v \in V\}$  is disjoint family.

The set of all, respectively, bounded, unbounded, large, thick subsets of ballean  $\mathbb{B} = (X, P, B)$  will be denoted by, respectively,  $\text{Bound}(B)$ ,  $\text{Unbound}(B)$ ,  $\text{Large}(B)$ ,  $\text{Thick}(B)$ . Note that every dense subset of a metric space  $(X, d)$  is large in  $\mathbb{B}(X, d)$ . However it is not vice versa. For example, the set of integers is large in  $\mathbb{B}(\mathbb{R}, d)$  but it is not dense in  $(\mathbb{R}, d)$ , where  $d$  is an Euclidean metric.

It is also known that for every  $\alpha \in P$  each maximal (with respect to inclusion)  $\alpha$ -discrete subset of any ballean  $\mathbb{B} = (X, P, B)$  is large in it [1, 4].

**4. Density.** We define a *density* of a ballean  $\mathbb{B}$  as follows:

$$\text{den}(\mathbb{B}) = \min \{\text{card}(Y) : Y \subseteq X \wedge Y \text{ is large in } \mathbb{B}\}.$$

Recall [1] that if  $X$  is infinite and filter  $\phi$  is free, then ballean  $\mathbb{B}(\phi)$  is unbounded and connected (such a ballean is also called a *conun*) and  $\phi = \text{Large}(\mathbb{B}(\phi))$ .

**Remark 2.** Note that  $\text{Large}(\mathbb{B}(B, \phi)) \subseteq \phi \cap \text{Large}(\mathbb{B})$ . Indeed, if  $Y \subseteq X$  is large in  $\mathbb{B}(B, \phi)$ , then there exist  $F \in \phi$  and  $\alpha \in P$  such that  $B(Y, (\alpha, F)) = X$ . Hence  $F \subseteq Y$  and  $B(Y \setminus F, \alpha) = X \setminus F$ . So,  $Y \in \phi \cap \text{Large}(\mathbb{B})$ .

**Corollary 1.** *If  $\phi$  is a free filter on an infinite set  $X$ , then*

$$\text{den}(\mathbb{B}(\phi)) \text{den}(\mathbb{B}) \leq \text{den}(\mathbb{B}(B, \phi))$$

for every ballean  $\mathbb{B} = (X, P, B)$ . So, if  $\phi$  is uniform, then

$$\text{den}(\mathbb{B}(B, \phi)) = \text{den}(\mathbb{B}(\phi)) = \text{card}(X).$$

**Proposition 1.** *Let  $\mathbb{B} = (X, P, B)$  be a connected ballean with infinite support  $X$  and let  $\phi$  be a free filter on  $X$  containing  $\mathcal{F}_\omega(X)$  and such that  $X \setminus F$  is bounded for every  $F \in \phi$ . Then  $\text{Large}(\mathbb{B}(B, \phi)) = \phi$ .*

**Proof.** Take  $F \in \phi$  and an arbitrary  $y \in F$ . Put  $G = F \setminus \{y\}$  and choose  $x \in X$  and  $\alpha \in P$  such that  $X \setminus G \subseteq B(x, \alpha)$ . By connectedness of  $\mathbb{B}$  there exists  $\beta \in P$  such that  $x \in B(y, \beta)$ . By upper multiplicative condition we can find  $\gamma \in P$  such that  $X \setminus G \subseteq B(y, \gamma)$ . So  $B_{P \times \phi}(F, (\gamma, G)) = X$ . Hence,  $F \in \text{Large}(\mathbb{B}(B, \phi))$ .

**Corollary 2.** For every connected and bounded ballean  $\mathbb{B} = (X, P, B)$  with an infinite support  $X$  and every free filter  $\phi$  containing  $F_\omega(X)$  the following equality holds:

$$\text{den}(\mathbb{B}(B, \phi)) = \min \{ \text{card}(F) : F \in \phi \}.$$

**Proposition 2.** Let  $\phi$  be a free filter on an infinite set  $X$  containing  $\mathcal{F}_\omega(X)$  and let  $\mathbb{B} = (X, P, B)$  be a bounded ballean. Then

$$\text{den}(\mathbb{B}(\phi)) = \text{den}(\mathbb{B}(B, \phi)) > \text{den}(\mathbb{B}).$$

**Proof.** Let  $Y \in \phi$  and choose  $x_0 \in X$  and  $\alpha \in P$  such that  $B(x_0, \alpha) = X$ . We consider two cases:

Case 1: Assume that  $x_0 \in Y$ . Then  $Y$  is large in  $\mathbb{B}(B, \phi)$ . Indeed, if  $x_0 \in Y$ , then

$$B_{P \times \phi}(Y, (\alpha, Y \setminus \{x_0\})) = X.$$

Case 2: If  $x_0 \notin Y$ , then  $Y \cup \{x_0\}$  appears large in  $\mathbb{B}(B, \phi)$ , since

$$B_{P \times \phi}(Y \cup \{x_0\}, (\alpha, Y)) = Y \cup (B(x_0, (\alpha, Y)) \setminus Y) = X.$$

Since  $\text{card}(Y) = \text{card}(Y \cup \{x_0\})$ , so  $\text{den}(\mathbb{B}(B, \phi)) \leq \text{den}(\mathbb{B}(\phi))$ . Of course, since  $\mathbb{B} = (X, P, B)$  is bounded, we have  $\text{den}(\mathbb{B}) = 1$ . By Corollary 1 the proof is complete.

**Example 5.** If  $d$  is the Euclidean metric and  $\phi = \mathcal{F}_\omega(\mathbb{R})$ , then  $\aleph_0 = \text{den}(\mathbb{B}(\mathbb{R}, d)) < \text{den}(\mathbb{B}(\phi)) = \text{den}(\mathbb{B}(B_d, \phi)) = \text{card}(\mathbb{R})$  although  $\mathbb{B}(\mathbb{R}, d)$  is not bounded.

Given a ballean  $\mathbb{B} = (X, P, B)$ , a set  $Y \subseteq X$  has asymptotically isolated  $\alpha$ -balls for some  $\alpha \in P$  if, for every  $\beta > \alpha$ , there exists  $y \in Y$  satisfying  $B(y, \alpha) = B(y, \beta)$ . If there exist  $\alpha \in P$  and a set  $Y \subseteq X$  which has asymptotically isolated  $\alpha$ -balls, then we say that  $\mathbb{B}$  has asymptotically isolated balls. If  $\phi_\alpha = \{x \in X : B(x, \alpha) = \{x\}\}$  is nonempty for every  $\alpha \in P$ , we will say that  $\mathbb{B}$  has asymptotically isolated 0-balls.

**Example 6.** If  $\mathbb{B}$  is unbounded and has asymptotically isolated 0-balls, then  $\mathcal{B} = \{\phi_\alpha : \alpha \in P\}$  is a base for some filter  $\phi_0$  on  $X$ . Then  $\mathbb{B} \prec \mathbb{B}(B, \phi_0)$  [2]. So, for every free filter on  $X$  such that  $\phi_0 \subseteq \phi$ , we have

$$\mathbb{B} = \mathbb{B}(B, \phi_0) \prec \mathbb{B}(B, \phi) \prec \mathbb{B}.$$

Hence

$$\text{den } \mathbb{B} = \text{den } \mathbb{B}(B, \phi_0) = \text{den } \mathbb{B}(B, \phi).$$

**5. Capacity.** The capacity of a ballean  $\mathbb{B} = (X, P, B)$  is determined by its thick subsets. Namely

$$\text{cap}(\mathbb{B}) = \sup \{ \text{card}(\mathcal{F}) : \mathcal{F} \text{ is a disjoint family of thick subsets of } X \}.$$

Recall [4, p. 175], [1] (Theorem 3.1) that  $\text{cap}(\mathbb{B}) \leq \text{den}(\mathbb{B})$  for every ballean  $\mathbb{B}$  and  $\text{cap}(\mathbb{B}) = \text{den}(\mathbb{B})$  for every ordinal ballean  $\mathbb{B}$ .

**Remark 3.** Note that  $\text{Thick}(\mathbb{B}(B, \phi)) \supseteq \phi \cup \text{Thick}(\mathbb{B})$  and

$$\text{cap}(\mathbb{B}) \text{cap}(\mathbb{B}(\phi)) \leq \text{cap}(\mathbb{B}(B, \phi))$$

for every free filter  $\phi$  and every ballean  $\mathbb{B} = (X, P, B)$ .

**Remark 4.** Note that if  $\phi$  is a free filter on an infinite  $X$  and  $\mathbb{B} = (X, \rho, B)$  is ordinal and unbounded and such that  $\text{den}(\mathbb{B}) = \text{den}(\mathbb{B}(B, \phi))$ , then

$$\text{cap}(\mathbb{B}) \leq \text{cap}(\mathbb{B}(B, \phi)) \leq \text{den}(\mathbb{B}(B, \phi)) = \text{den}(\mathbb{B}) = \text{cap}(\mathbb{B}).$$

**Remark 5.** If  $\phi$  is a free uniform ultrafilter on an infinite set  $X$  of the cardinality  $\kappa$ , then  $\text{cap}(\mathbb{B}(\phi)) = 1$  and if also  $\text{den}(\mathbb{B}) < \kappa$ , then  $\text{cap}(\mathbb{B}(B, \phi)) < \text{den}(\mathbb{B}(B, \phi)) = \kappa$ .

**Example 7.** Note that if  $\phi = \mathcal{F}_\omega(\mathbb{R})$ , then  $\phi \subseteq \text{Thick}(\mathbb{B}(\mathbb{R}, d))$ . Indeed, if  $Y \in \phi$  (and, hence,  $Y$  is large in  $\mathbb{B}(\phi) = (\mathbb{R}, \phi, B_\phi)$ ), then  $\mathbb{R} \setminus Y$  is finite. So  $\bigcup \{B(y, \epsilon) : y \in \mathbb{R} \setminus Y\} \neq \mathbb{R}$  for every  $\epsilon > 0$ . This means  $\mathbb{R} \setminus Y$  cannot be large in  $\mathbb{B}(\mathbb{R}, d)$ . Hence,  $Y \in \text{Thick}(\mathbb{B}(\mathbb{R}, d))$ .

Recall [1] that if  $\text{card}(X) = \kappa$  is regular, then, since  $\text{Large}(\mathbb{B}(\text{Seq}(\kappa))) = [X]^\kappa$ , we have

$$1 = \text{cap}(\mathbb{B}(\text{Seq}(\kappa))) < \text{den}(\mathbb{B}(\text{Seq}(\kappa))) = \kappa.$$

**Proposition 3.** Let  $X$  be an uncountably infinite set and let  $\kappa < \text{card}(X)$  be a regular cardinal. Then

$$\text{den}(\mathbb{B}(\text{Seq}(\kappa))) = \text{den}(\mathbb{B}(\mathcal{F}_\kappa)) = \text{den}(\mathbb{B}(B_{\text{Seq}(\kappa)}, \mathcal{F}_\kappa)) = \text{card}(X)$$

and

$$\text{cap}(\mathbb{B}(\text{Seq}(\kappa))) = \text{cap}(\mathbb{B}(\mathcal{F}_\kappa)) = \text{cap}(\mathbb{B}(B_{\text{Seq}(\kappa)}, \mathcal{F}_\kappa)) = 1.$$

**Proof.** To prove the statement we will note that  $\mathbb{B}(\text{Seq}(\kappa)) = \mathbb{B}(\mathcal{F}_\kappa) = \mathbb{B}(B, \mathcal{F}_\kappa)$ . Indeed, put  $\mathbb{B}_1 = \mathbb{B}(\text{Seq}(\kappa))$ ,  $\mathbb{B}_2 = \mathbb{B}(\mathcal{F}_\kappa)$  and  $\mathbb{B}_3 = \mathbb{B}(B_{\text{Seq}(\kappa)}, \mathcal{F}_\kappa)$ . We will show that the identity mapping is an asymorphism in each of the following three cases.

Case 1:  $\mathbb{B}_1 \prec \mathbb{B}_2$ . Consider  $x \in X$  and  $f \in P_{\text{Seq}(\kappa)}$  and put

$$F = X \setminus f(x).$$

Then  $B_1(x, f) = f(x) = B_2(x, F)$ .

Case 2:  $\mathbb{B}_2 \prec \mathbb{B}_3$ . Take  $G \in \mathcal{F}_\kappa$  and  $x \in X$  and put

$$f_F(x) = \begin{cases} \{x\}, & x \in F, \\ X \setminus F, & x \notin F. \end{cases}$$

Then  $B_2(x, F) = B_3(x, (f_F, F))$  for every  $x \in X$ .

Case 3:  $\mathbb{B}_3 \prec \mathbb{B}_1$ . If  $F \in \mathcal{F}_\kappa$ ,  $f \in P_{\text{Seq}(\kappa)}$  and  $x \in X$ , then we define  $g(x)$  as follows:

$$g(x) = \begin{cases} \{x\}, & x \in F, \\ f(x) \setminus F, & x \notin F. \end{cases}$$

Then  $B_3(x, (f, F)) = B_1(x, g)$ . So  $\mathbb{B}_1$ ,  $\mathbb{B}_2$  and  $\mathbb{B}_3$  are pairwise asymorphic.

Observe that  $\text{den}(\mathbb{B}(B, \mathcal{F}_\kappa)) = \min \{F : F \in \mathcal{F}_\kappa\} = \text{card}(X)$ .

To see that  $\text{cap}(\mathbb{B}(\text{Seq}(\kappa))) = 1$  suppose  $A \in \text{Thick}(\mathbb{B}(\text{Seq}(\kappa)))$ . Then  $\text{card}(A) = \text{card}(X)$ , since otherwise we could find a bijection  $f : X \setminus A \rightarrow X$  and define  $g(x) = \{f(x), x\}$  for every  $x \in X$ . Then  $\mathbb{B}_{\text{Seq}(\kappa)}(X \setminus A, g) = X$  and hence  $X \setminus A$  would be large in  $\mathbb{B}(\text{Seq}(\kappa))$ , so we would get a contradiction.

So there exists a bijection  $f : A \rightarrow X$  and  $g \in P_{\text{Seq}(\kappa)}$  (defined as above) such that  $\mathbb{B}_{\text{Seq}(\kappa)}(A, g) = X$ . Hence  $A \in \text{Large}(\mathbb{B}(\text{Seq}(\kappa)))$ . So since  $\text{Thick}(\mathbb{B}(\text{Seq}(\kappa))) \subseteq \text{Large}(\mathbb{B}(\text{Seq}(\kappa)))$  we have  $\text{cap}(\mathbb{B}(\text{Seq}(\kappa))) = 1$ .

**Example 8.** If  $\phi = \mathcal{F}_\omega(\mathbb{R})$ , then density and capacity of  $\mathbb{B}(\mathbb{B}(\mathbb{R}, d), \phi)$  are equal and uncountable. Indeed, if  $A \in \text{Large}(\mathbb{B}(\mathbb{B}(\mathbb{R}, d), \phi))$ , then  $A \in \phi$ , so  $A$  is uncountable. For estimating the capacity we put  $A_x = \{x + k : k \in \mathbb{Z}\}$  for every  $x \in \mathbb{R}$ . Then  $\{A_x : x \in [0, 1]\}$  is a family of pairwise disjoint thick subsets of  $\mathbb{B}(\mathbb{B}(\mathbb{R}, d), \phi)$ . Hence

$$\text{cap}(\mathbb{B}(\mathbb{B}(\mathbb{R}, d), \phi)) = \text{den}(\mathbb{B}(\mathbb{B}(\mathbb{R}, d), \phi)) > \text{den}(\mathbb{B}(\mathbb{R}, d)) = \aleph_0.$$

Recall that  $\rho \leq \text{den}(\mathbb{B})$  for every unbounded ordinal ballean  $\mathbb{B} = (X, \rho, B)$  [1] (proof of the Theorem 3.1).

**Proposition 4.** *If  $\mathbb{B} = (X, \rho, B)$  is an unbounded ordinal ballean and  $\text{card}(X) = \kappa > \aleph_0$  is such that  $\text{den}(\mathbb{B}) < \kappa$ , then*

$$\text{cap}(\mathbb{B}(B, \mathcal{F}_\rho(X))) = \text{den}(\mathbb{B}(B, \mathcal{F}_\rho(X))) = \text{card}(X)$$

and there exists a disjoint family  $\mathcal{A} \subseteq \text{Thick}(\mathbb{B}(B, \mathcal{F}_\rho(X)))$  such that  $\text{card}(\mathcal{A}) = \kappa$ .

**Proof.** Let  $\kappa = \text{card}(X)$  and  $\mathcal{P} = \{X_\tau : \tau < \rho\}$  be a disjoint family of sets, such that  $\bigcup \mathcal{P} = X$  and  $\text{card}(X_\tau) = \kappa$  for every  $\tau < \rho$ . Let  $\{x_\lambda^\tau : \lambda < \kappa\}$  be an enumeration of  $X_\tau$  for every  $\tau < \rho$ . Then  $Z_\lambda = \{x_\lambda^\tau : \tau < \rho\} \in \text{Thick}(\mathbb{B}(B, \mathcal{F}_\rho(X)))$  for every  $\lambda < \kappa$ . Indeed, suppose there exist  $\lambda < \kappa$ ,  $F \in \mathcal{F}_\rho(X)$  and  $\alpha < \rho$  such that  $B_{\rho \times \mathcal{F}_\rho(X)}(X \setminus Z_\lambda, (\alpha, F)) = X$ . Then  $S = F \setminus (X \setminus Z_\lambda) \neq \emptyset$ . Hence  $B_{\rho \times \mathcal{F}_\rho(X)}(X \setminus Z_\lambda, (\alpha, F)) \subseteq X \setminus S \neq X$ , a contradiction.

So,  $\text{cap}(\mathbb{B}(B, \mathcal{F}_\rho(X))) = \kappa$ .

**Theorem 1.** *Let  $\mathbb{B} = (X, \rho, B)$  be an ordinal unbounded ballean with an uncountable support  $X$  and let  $\phi$  be a free filter on  $X$  containing  $\mathcal{F}_\omega(X)$  and such that  $X \setminus F \in \text{Bound}(\mathbb{B})$  for every  $F \in \phi$ . Then  $\text{cap}(\mathbb{B}(B, \phi)) = \text{den}(\mathbb{B}(B, \phi))$  and there exists a disjoint family  $\mathcal{A} \subseteq \text{Thick}(\mathbb{B}(B, \mathcal{F}_\rho(X)))$  of the cardinality  $\text{den}(\mathbb{B}(B, \phi))$ .*

**Proof.** Assume that  $\kappa = \text{den}(\mathbb{B}(B, \phi))$ . Let  $F \in \text{Large}(\mathbb{B}(B, \phi))$  be such that  $\text{card}(F) = \kappa$ . We choose  $\alpha_1 < \rho$  and  $G \in \phi$  such that  $X = B_{\rho \times \phi}(F, (\alpha_1, G))$  and take an arbitrary  $x_0 \in X \setminus G$ . Since  $\rho \leq \text{den}(\mathbb{B})$ , we shall consider two cases:

*Case 1:*  $\rho < \text{cf } \kappa$ . Inductively assume that, for some  $\beta < \rho$ , we have just defined a family  $\{Y_\alpha \subseteq F : \alpha < \beta\}$  and a strictly increasing sequence  $\{\gamma_\alpha : \alpha < \beta\}$  such that, for each  $\alpha < \beta$ , the following conditions hold:

- (i)  $\text{card}(Y_\alpha) = \kappa$ ;
- (ii)  $\gamma_\alpha > \alpha$ ;
- (iii)  $Y_\alpha \subseteq B(x_0, \gamma_\alpha) \setminus B(x_0, \alpha)$ .

Consider  $\beta = \alpha + 1$  for some  $\alpha < \kappa$ . Let  $Z = F \setminus B(x_0, \gamma_\alpha)$ . Observe that  $\text{card}(Z) = \kappa$ . Indeed, on the contrary  $L = Z \cup \{x_0\} \in \text{Large}(\mathbb{B}(B, \phi))$ . To see this we use the upper multiplicative condition and find an  $\alpha_2 < \rho$  such that  $B(B(x, \alpha_0), \alpha_1) \subseteq B(x, \alpha_2)$  for every  $x \in X$ . So we obtain the following:

$$\begin{aligned} X &= B_{\rho \times \phi}(F, (\alpha_1, G)) \subseteq B_{\rho \times \phi}(Z, (\alpha_1, G)) \cup B_{\rho \times \phi}(B(x_0, \alpha_0), (\alpha_1, G)) \subseteq \\ &\subseteq B_{\rho \times \phi}(Z, (\alpha_1, G)) \cup B_{\rho \times \phi}(x_0, (\alpha_2, G)) \subseteq \\ &\subseteq B_{\rho \times \phi}(Z \cup \{x_0\}, (\max(\alpha_2, \alpha_1), G)). \end{aligned}$$

So,  $Z \cup \{x_0\} \in \text{Large}(\mathbb{B}(B, \phi))$  and its cardinality is smaller than  $\kappa$ . A contradiction.

Since  $\rho < \text{cf } \kappa$  we can choose  $\gamma_\beta > \gamma_\alpha$  such that  $\text{card}(Z \cap B(x_0, \gamma_\beta)) = \kappa$ . Put  $Y_\beta = Z \cap B(x_0, \gamma_\beta)$ .

If  $\beta$  is a limit cardinal then, by regularity of  $\rho$ , there exists  $\gamma < \rho$  such that  $\tau > \gamma_\alpha$  for each  $\alpha < \beta$ . Then take  $Z = F \setminus B(x_0, \tau)$  and again choose  $\gamma_\tau > \gamma$  such that  $\text{card}(Z \cap B(x, \gamma_\tau)) = \kappa$  and put  $Y_\beta = Z \cap B(x, \gamma_\tau) \subseteq F$ .

Let  $\{y_\alpha^\lambda : \lambda < \kappa\}$  be an enumeration of  $Y_\alpha$  for every  $\alpha < \rho$ . Then  $T_\lambda = \{y_\alpha^\lambda : \alpha < \rho\} \notin \text{Bound}(\mathbb{B})$  for every  $\lambda < \kappa$ . Indeed, if there exist  $x \in X$  and  $\alpha_0 < \rho$  such that  $T_\lambda \subseteq B(x, \alpha_0)$ , then by connectedness and upper multiplicative condition we can find  $\alpha_1 < \rho$  satisfying  $T_\lambda \subseteq B(x_0, \alpha_1)$ . But  $y_\alpha^\lambda \notin B(x_0, \alpha_1)$  for  $\alpha > \alpha_1$ . A contradiction.

So,  $T_\lambda \in \text{Thick}(\mathbb{B}(B, \phi))$  for every  $\lambda < \kappa$ .

*Case 2:* Now assume  $\text{cf } \kappa \leq \rho \leq \text{den}(\mathbb{B})$ . Let  $g : \rho \rightarrow \kappa$  be an injection holding  $g(\rho)$  cofinal in  $\kappa$ . Inductively assume that for some  $\beta < \rho$  we have already defined a family  $\{Y_\alpha \subseteq F : \alpha < \beta\}$  and a strictly increasing sequence  $\{\gamma_\alpha : \alpha < \beta\}$  such that following conditions hold:

- (i)  $\gamma_\alpha > \alpha$ ;
- (ii)  $Y_\alpha \subseteq B(x, \gamma_\alpha) \setminus B(x, \alpha)$ ;
- (iii)  $\text{card}(Y_\alpha) = g(\alpha)$ .

Consider  $\beta = \alpha + 1$  for some  $\alpha < \rho$ . Put  $Z = F \setminus B(x_0, \gamma_\alpha)$ . Since  $\text{card}(Z) = \kappa$  (compare with Case 1) and  $\rho < \kappa$  there exists a  $\gamma_\beta > \gamma_\alpha$  such that  $\text{card}(B(x_0, \gamma_\beta) \cap Z) \geq g(\beta)$ . We choose  $Y_\beta \subseteq B(x_0, \gamma_\beta) \cap Z$  of the cardinality  $g(\beta)$ .

If  $\beta$  is a limit cardinal then, by regularity of  $\rho$ , there exists  $\gamma < \rho$  such that  $\tau > \gamma_\alpha$  for each  $\alpha < \beta$ . Then take  $Z = F \setminus B(x_0, \tau)$  and choose  $\gamma_\tau > \gamma$  such that  $\text{card}(Z \cap B(x, \gamma_\tau)) = g(\beta)$ . Put  $Y_\beta = Z \cap B(x_0, \gamma_\tau)$ .

Let  $\{y_\alpha^\lambda : \lambda < g(\alpha)\}$  be an enumeration of  $Y_\alpha$  for every  $\alpha < \rho$  and let define

$$T_\lambda^\alpha = \{y_\beta^\lambda : \alpha + 1 \leq \beta < \rho\}$$

for every  $\alpha < \rho$  and  $\lambda < \kappa$  such that  $g(\alpha) < \lambda < g(\alpha + 1)$ . Then  $X \setminus T_\lambda^\alpha \notin \text{Bound}(\mathbb{B})$  for every  $\lambda$  and  $\alpha$  (compare with Case 1), so  $T_\lambda^\alpha \in \text{Thick}(\mathbb{B}(B, \phi))$ . Of course

$$\mathcal{A} = \{T_\lambda^\alpha : g(\alpha) < \lambda < g(\alpha + 1) \wedge \alpha < \rho\}$$

is a disjoint family and thanks to choice of  $g$ ,  $\text{card}(\mathcal{A}) = \kappa$ .

We conclude also that Theorem 3.3 of [1] implies the following statement.

**Corollary 3.** *Let  $\mathbb{B} = (X, P, B)$  be a ballean,  $\text{card}(X) = \kappa$ ,  $\text{card}(P) \leq \kappa$ . Then, for every free filter  $\phi$  on  $X$ , there exists a disjoint family  $\mathcal{F} \subseteq \text{Thick}(\mathbb{B}(B, \phi))$  such that  $\text{card}(\mathcal{F}) = \kappa$  and provided that one of the following conditions is satisfied:*

- (i) *there exists  $\kappa' < \kappa$  such that  $\text{card}(B(x, \alpha)) \leq \kappa'$  for all  $x \in X$  and  $\alpha \in P$ ;*
- (ii)  *$\text{card}(B(x, \alpha)) < \kappa$  for all  $x \in X$  and  $\alpha \in P$  and  $\kappa$  is regular.*

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