

I. Dimitrova (South-West University “Neofit Rilski”, Blagoevgrad, Bulgaria),

J. Koppitz (Institute of Mathematics and Informatics Bulgarian Academy of Sciences, Sofia, Bulgaria)

## ON RELATIVE RANKS OF FINITE TRANSFORMATION SEMIGROUPS WITH RESTRICTED RANGE

### ПРО ВІДНОСНІ РАНГИ НАПІВГРУП ФІНІТНИХ ПЕРЕТВОРЕНЬ З ОБМЕЖЕНОЮ ОБЛАСТЮ ЗНАЧЕНЬ

We determine the relative rank of the semigroup  $\mathcal{T}(X, Y)$  of all transformations on a finite chain  $X$  with restricted range  $Y \subseteq X$  modulo the set  $\mathcal{OP}(X, Y)$  of all orientation-preserving transformations in  $\mathcal{T}(X, Y)$ . Moreover, we state the relative rank of the semigroup  $\mathcal{OP}(X, Y)$  modulo the set  $\mathcal{O}(X, Y)$  of all order-preserving transformations in  $\mathcal{OP}(X, Y)$ . In both cases we characterize the minimal relative generating sets.

Визначено відносний ранг напівгрупи  $\mathcal{T}(X, Y)$  усіх перетворень на скінченному ланцюгу  $X$  з обмеженою областю значень  $Y \subseteq X$  за модулем множини  $\mathcal{OP}(X, Y)$  усіх перетворень у  $\mathcal{T}(X, Y)$ , що зберігають орієнтацію. Крім того, встановлено відносний ранг напівгрупи  $\mathcal{OP}(X, Y)$  за модулем множини  $\mathcal{O}(X, Y)$  усіх перетворень в  $\mathcal{OP}(X, Y)$ , що зберігають порядок. В обох випадках охарактеризовано відповідні мінімальні породжуючі множини.

**1. Introduction and preliminaries.** Let  $S$  be a semigroup. The *rank* of  $S$  (denoted by  $\text{rank } S$ ) is defined to be the minimal number of elements of a generating set of  $S$ . The ranks of various known semigroups have been calculated [7, 8, 10, 11]. For a set  $A \subseteq S$ , the *relative rank* of  $S$  modulo  $A$ , denoted by  $\text{rank}(S : A)$ , is the minimal cardinality of a set  $B \subseteq S$  such that  $A \cup B$  generates  $S$ . It follows immediately from the definition that  $\text{rank}(S : \emptyset) = \text{rank } S$ ,  $\text{rank}(S : S) = 0$ ,  $\text{rank}(S : A) = \text{rank}(S : \langle A \rangle)$  and  $\text{rank}(S : A) = 0$  if and only if  $A$  is a generating set for  $S$ . The relative rank of a semigroup modulo a suitable set was first introduced by Ruškuc in [14] in order to describe the generating sets of semigroups with infinite rank. In [12], Howie, Ruškuc, and Higgins considered the relative ranks of the monoid  $\mathcal{T}(X)$  of all full transformations on  $X$ , where  $X$  is an infinite set, modulo some distinguished subsets of  $\mathcal{T}(X)$ . They showed that  $\text{rank}(\mathcal{T}(X) : \mathcal{S}(X)) = 2$ ,  $\text{rank}(\mathcal{T}(X) : \mathcal{E}(X)) = 2$  and  $\text{rank}(\mathcal{T}(X) : J) = 0$ , where  $\mathcal{S}(X)$  is the symmetric group on  $X$ ,  $\mathcal{E}(X)$  is the set of all idempotent transformations on  $X$  and  $J$  is the top  $\mathcal{J}$ -class of  $\mathcal{T}(X)$ , i.e.,  $J = \{\alpha \in \mathcal{T}(X) : |X\alpha| = |X|\}$ . But also if the rank is finite, the relative rank gives information about the generating sets. In the present paper, we will determine the relative rank for a particular semigroup of transformations on a finite set.

Let  $X$  be a finite chain, say  $X = \{1 < 2 < \dots < n\}$  for a natural number  $n$ . A transformation  $\alpha \in \mathcal{T}(X)$  is called *order-preserving* if  $x \leq y$  implies  $x\alpha \leq y\alpha$  for all  $x, y \in X$ . We denote by  $\mathcal{O}(X)$  the submonoid of  $\mathcal{T}(X)$  of all order-preserving full transformations on  $X$ . The relative rank of  $\mathcal{T}(X)$  modulo  $\mathcal{O}(X)$  was considered by Higgins, Mitchell, and Ruškuc in [9]. They showed that  $\text{rank}(\mathcal{T}(X) : \mathcal{O}(X)) = 1$ , when  $X$  is an arbitrary countable chain or an arbitrary well-ordered set, while  $\text{rank}(\mathcal{T}(\mathbb{R}) : \mathcal{O}(\mathbb{R}))$  is uncountable, by considering the usual order of the set  $\mathbb{R}$  of real numbers. In [2], Dimitrova, Fernandes, and Koppitz studied the relative rank of the semigroup  $\mathcal{O}(X)$  modulo  $J = \{\alpha \in \mathcal{O}(X) : |X\alpha| = |X|\}$  for an infinite countable chain  $X$ . We say that a transformation  $\alpha \in \mathcal{T}(X)$  is *orientation-preserving* if there are subsets  $X_1, X_2 \subseteq X$  with  $\emptyset \neq$

$\neq X_1 < X_2$  (i.e.,  $x_1 < x_2$  for  $x_1 \in X_1$  and  $x_2 \in X_2$ ),  $X = X_1 \cup X_2$ , and  $x\alpha \leq y\alpha$ , whenever either  $(x, y) \in X_1^2 \cup X_2^2$  with  $x \leq y$  or  $(x, y) \in X_2 \times X_1$ . Note that  $X_2 = \emptyset$  provides  $\alpha \in \mathcal{O}(X)$ . We denote by  $\mathcal{OP}(X)$  the submonoid of  $\mathcal{T}(X)$  of all orientation-preserving full transformations on  $X$ . An equivalent notion of an orientation-preserving transformation was first introduced by McAlister in [13] and, independently, by Catarino and Higgins in [1]. It is clear that  $\mathcal{O}(X)$  is a submonoid of  $\mathcal{OP}(X)$ , i.e.,  $\mathcal{O}(X) \subset \mathcal{OP}(X) \subset \mathcal{T}(X)$ . It is interesting to note that the relative rank of  $\mathcal{T}(X)$  modulo  $\mathcal{OP}(X)$  as well as the relative rank of  $\mathcal{OP}(X)$  modulo  $\mathcal{O}(X)$  is one (see [1, 12]), but the situation will change if one considers transformations with restricted range.

Let  $Y = \{a_1 < a_2 < \dots < a_m\}$  be a nonempty subset of  $X$ , for a natural number  $m \leq n$ , and denote by  $\mathcal{T}(X, Y)$  the subsemigroup  $\{\alpha \in \mathcal{T}(X) : X\alpha \subseteq Y\}$  of  $\mathcal{T}(X)$  of all transformations with range (image) restricted to  $Y$ . The set  $\mathcal{T}(X, Y)$  coincides with  $\mathcal{T}(X)$ , whenever  $Y = X$  (i.e.,  $m = n$ ). In 1975, Symons [15] introduced and studied the semigroup  $\mathcal{T}(X, Y)$ , which is called semigroup of transformations with restricted range. Recently, the rank of  $\mathcal{T}(X, Y)$  was computed by Fernandes and Sanwong in [6]. They proved that the rank of  $\mathcal{T}(X, Y)$  is the Sterling number  $S(n, m)$  of second kind with  $|X| = n$  and  $|Y| = m$ . The rank of the order-preserving counterpart  $\mathcal{O}(X, Y)$  of  $\mathcal{T}(X, Y)$  was studied in [4] by Fernandes, Honyam, Quinteiro, and Singha. The authors found that  $\text{rank } \mathcal{O}(X, Y) = \binom{n-1}{m-1} + |Y^\#|$ , where  $Y^\#$  denotes the set of all  $y \in Y$  with one of the following properties: (i)  $y$  has no successor in  $X$ ; (ii)  $y$  is no successor of any element in  $X$ ; (iii) both the successor of  $Y$  and the element whose successor is  $y$  belong to  $Y$ . Moreover, the regularity and the rank of the semigroup  $\mathcal{OP}(X, Y)$  were studied by the same authors in [5]. They showed that  $\text{rank } \mathcal{OP}(X, Y) = \binom{n}{m}$ . In [16], Tinpun and Koppitz studied the relative rank of  $\mathcal{T}(X, Y)$  modulo  $\mathcal{O}(X, Y)$  and proved that  $\text{rank}(\mathcal{T}(X, Y) : \mathcal{O}(X, Y)) = S(n, m) - \binom{n-1}{m-1} + a$ , where  $a \in \{0, 1\}$  depending on the set  $Y$ . In this paper, we determine the relative rank of  $\mathcal{OP}(X, Y)$  modulo  $\mathcal{O}(X, Y)$  as well as the relative rank of  $\mathcal{T}(X, Y)$  modulo  $\mathcal{OP}(X, Y)$ .

Let  $\alpha \in \mathcal{T}(X, Y)$ . The kernel of  $\alpha$  is the equivalence relation  $\ker \alpha$  with  $(x, y) \in \ker \alpha$  if  $x\alpha = y\alpha$ . It corresponds uniquely to a partition on  $X$ . This justifies to regard  $\ker \alpha$  as a partition on  $X$ . We will call a block of this partition as  $\ker \alpha$ -class. In particular, the sets  $x\alpha^{-1} = \{y \in X : y\alpha = x\}$ , for  $x \in X\alpha$ , are the  $\ker \alpha$ -classes. We say that a partition  $P$  is a subpartition of a partition  $Q$  of  $X$  if for all  $p \in P$  there is  $q \in Q$  with  $p \subseteq q$ . A set  $T \subseteq X$  with  $|T \cap x\alpha^{-1}| = 1$ , for all  $x \in X\alpha$ , is called a transversal of  $\ker \alpha$ . Let  $A \subseteq X$ . Then  $\alpha|_A : A \rightarrow Y$  denotes the restriction of  $\alpha$  to  $A$  and  $A$  will be called convex if  $x < y < z$  with  $x, z \in A$  implies  $y \in A$ .

Let  $l \in \{1, \dots, m\}$ . We denote by  $\mathcal{P}_l$  the set of all partitions  $\{A_1, \dots, A_l\}$  of  $X$  such that  $A_2 < A_3 < \dots < A_l$  are convex sets (if  $l > 1$ ) and  $A_1$  is the union of two convex sets with  $1, n \in A_1$ . Further, let  $\mathcal{Q}_l$  be the set of all partitions  $\{A_1, \dots, A_l\}$  of  $X$  such that  $A_1 < A_2 < \dots < A_l$  are convex and let  $\mathcal{R}_l$  be the set of all partitions of  $X$ , which not belong to  $\mathcal{Q}_l \cup \mathcal{P}_l$ . We observe that  $\ker \beta \in \mathcal{Q}_l \cup \mathcal{P}_l$ , whenever  $\beta \in \mathcal{OP}(X, Y)$  with  $|X\beta| = l$ . In particular,  $\ker \beta \in \mathcal{Q}_l$ , whenever  $\beta \in \mathcal{O}(X, Y)$ .

Let us consider the case  $l = m > 1$ . For  $P \in \mathcal{P}_m$  with the blocks  $A_1, A_2 < \dots < A_m$ , let  $\alpha_P$  be the transformation on  $X$  defined by

$$x\alpha_P := a_i, \quad \text{whenever } x \in A_i \text{ for } 1 \leq i \leq m,$$

in the case  $1 \notin Y$  or  $n \notin Y$  and

$$x\alpha_P := \begin{cases} a_{i+1}, & \text{if } x \in A_i \text{ for } 1 \leq i < m, \\ a_1, & \text{if } x \in A_m, \end{cases}$$

in the case  $1, n \in Y$ . Clearly,  $\ker \alpha_P = P$ . For  $X_1 = \{1, \dots, \max A_m\}$ ,  $X_2 = \{\max A_m + 1, \dots, n\}$  in the case  $1 \notin Y$  or  $n \notin Y$  and  $X_1 = \{1, \dots, \max A_{m-1}\}$ ,  $X_2 = \{\max A_{m-1} + 1, \dots, n\}$  in the case  $1, n \in Y$ , where  $\max A_m$  ( $\max A_{m-1}$ ) denotes the greatest element in the set  $A_m$  ( $A_{m-1}$ , respectively), we can easily verify that  $\alpha_P$  is orientation-preserving.

Further, let  $\eta \in \mathcal{T}(X, Y)$  be defined by

$$x\eta := \begin{cases} a_{i+1}, & \text{if } a_i \leq x < a_{i+1}, \quad 1 \leq i < m, \\ a_1, & \text{if } x = a_m, \\ a_\Gamma, & \text{otherwise,} \end{cases} \quad \text{with } \Gamma := \begin{cases} 1, & \text{if } 1 \notin Y, \\ 2, & \text{otherwise,} \end{cases}$$

in the case  $1 \notin Y$  or  $n \notin Y$  and

$$x\eta := \begin{cases} a_{i+1}, & \text{if } a_i \leq x < a_{i+1}, \quad 1 \leq i < m, \\ a_1 = 1, & \text{if } x = a_m = n, \end{cases}$$

in the case that  $1, n \in Y$ . Notice that  $P_0 := \ker \eta \in \mathcal{P}_m$  if  $1 \notin Y$  or  $n \notin Y$  and  $\ker \eta \in \mathcal{Q}_m$  if  $1, n \in Y$ . In fact,  $\eta \in \mathcal{OP}(X, Y)$  with  $X_1 = \{1, 2, \dots, a_m - 1\}$  and  $X_2 = \{a_m, a_m + 1, \dots, n\}$ . Moreover,  $\eta|_Y$  is a permutation on  $Y$ , namely

$$\eta|_Y = \begin{pmatrix} a_1 & \dots & a_{m-1} & a_m \\ a_2 & \dots & a_m & a_1 \end{pmatrix}.$$

We will denote by  $\mathcal{S}(Y)$  the set of all permutations on  $Y$ . Note that  $\beta \in \mathcal{O}(X, Y)$  implies that either  $\beta|_Y$  is the identity mapping on  $Y$  or  $\beta|_Y \notin \mathcal{S}(Y)$ .

**2. The relative rank of  $\mathcal{OP}(X, Y)$  modulo  $\mathcal{O}(X, Y)$ .** In this section, we determine the relative rank of  $\mathcal{OP}(X, Y)$  modulo  $\mathcal{O}(X, Y)$ . A part of these results were presented at the 47th spring conference of the Union of Bulgarian mathematicians in March 2018 and are published in the proceedings of this conference [3].

If  $m = 1$ , then  $\mathcal{OP}(X, Y)$  is the set of all constant mappings and coincides with  $\mathcal{O}(X, Y)$ , i.e.,  $\text{rank}(\mathcal{OP}(X, Y) : \mathcal{O}(X, Y)) = 0$ . So, we admit that  $m > 1$ .

First, we will show that

$$\mathcal{A} := \{\alpha_P : P \in \mathcal{P}_m\} \cup \{\eta\}$$

is a relative generating set of  $\mathcal{OP}(X, Y)$  modulo  $\mathcal{O}(X, Y)$ . Notice that  $\eta = \alpha_{P_0}$  if  $1 \notin Y$  or  $n \notin Y$ .

**Lemma 1.** *For each  $\alpha \in \mathcal{OP}(X, Y)$  with  $\text{rank } \alpha = m$ , there is  $\hat{\alpha} \in \{\alpha_P : P \in \mathcal{P}_m\} \cup \mathcal{O}(X, Y)$  with  $\ker \alpha = \ker \hat{\alpha}$ .*

**Proof.** Let  $\alpha \in \mathcal{OP}(X, Y)$  and let  $X_1, X_2 \subseteq X$  as in the definition of an orientation-preserving transformation. If  $X_2 = \emptyset$ , then  $\alpha \in \mathcal{O}(X, Y)$ . Suppose now that  $X_2 \neq \emptyset$  and let  $X_1\alpha = \{x_1 < \dots < x_r\}$  and  $X_2\alpha = \{y_1 < \dots < y_s\}$  for suitable natural numbers  $r$  and  $s$ . We observe that  $X_1\alpha$  and  $X_2\alpha$  have at most one joint element (only  $x_1 = y_s$  could be possible). If  $x_1 \neq y_s$ , then

$$\ker \alpha = \{x_1\alpha^{-1} < \dots < x_r\alpha^{-1} < y_1\alpha^{-1} < \dots < y_s\alpha^{-1}\} = \ker \hat{\alpha}$$

with

$$\hat{\alpha} = \begin{pmatrix} x_1\alpha^{-1} & \dots & x_r\alpha^{-1} & y_1\alpha^{-1} & \dots & y_s\alpha^{-1} \\ a_1 & \dots & a_r & a_{r+1} & \dots & a_{r+s} \end{pmatrix} \in \mathcal{O}(X, Y).$$

If  $x_1 = y_s$ , then  $1, n \in x_1\alpha^{-1} = y_s\alpha^{-1}$  and  $\ker \alpha = \ker \alpha_P$  with

$$P = \{x_1\alpha^{-1}, x_2\alpha^{-1} < \dots < x_r\alpha^{-1} < y_1\alpha^{-1} < \dots < y_{s-1}\alpha^{-1}\} \in \mathcal{P}_m.$$

Lemma 1 is proved.

**Proposition 1.**  $\mathcal{OP}(X, Y) = \langle \mathcal{O}(X, Y), \mathcal{A} \rangle$ .

**Proof.** Let  $\beta \in \mathcal{OP}(X, Y)$  with  $\text{rank } \beta = m$ . Then there is  $\theta \in \{\alpha_P : P \in \mathcal{P}_m\} \cup \mathcal{O}(X, Y)$  with  $\ker \beta = \ker \theta$  by Lemma 1. In particular, there is  $r \in \{0, \dots, m-1\}$  with  $a_1\theta^{-1} = a_{r+1}\beta^{-1}$ . Then it is easy to verify that  $\beta = \theta\eta^r$ , where  $\eta^0 = \eta^m$ .

Admit now that  $i = \text{rank } \beta < m$ . Suppose that  $\ker \beta \in \mathcal{P}_i$ , say  $\ker \beta = \{A_1, A_2 < \dots < A_i\}$  with  $1, n \in A_1$ . Then there is a subpartition  $P' \in \mathcal{P}_m$  of  $\ker \beta$ . We put  $\theta = \alpha_{P'}$ ,  $a = \min X\beta$ , and let  $T$  be a transversal of  $\ker \theta$ . In particular, we have  $Y = \{x(\theta|_T)\eta^k : x \in T\}$  for all  $k \in \{1, \dots, m\}$ . Since both mappings  $\theta|_T : T \rightarrow Y$  and  $\eta|_Y : Y \rightarrow Y$  are bijections, there is  $k \in \{1, \dots, m\}$  with  $a_1((\theta|_T)\eta^k)^{-1}\beta = a$  and  $a_1((\theta|_T)\eta^{k+1})^{-1}\beta \neq a$ . Moreover, since  $(\theta|_T)\eta^k$  is a bijection from  $T$  to  $Y$  and both transformations  $\theta\eta^k$  and  $\beta$  are orientation-preserving, it is easy to verify that  $f^* = ((\theta|_T)\eta^k)^{-1}\beta$  can be extended to an orientation-preserving transformation  $f$  defined by

$$xf = \begin{cases} a_1f^*, & \text{if } x < a_1, \\ a_if^*, & \text{if } a_i \leq x < a_{i+1}, \quad 1 \leq i < m, \\ a_mf^*, & \text{if } a_m \leq x, \end{cases}$$

i.e.,  $f$  and  $f^*$  coincide on  $Y$ . Moreover,  $a_1f = a_1f^* = a_1((\theta|_T)\eta^k)^{-1}\beta = a$ . In order to show that  $f$  is order-preserving, it left to verify that  $nf \neq a$ . Assume that  $nf = a$ , where  $n \geq a_m$ . Then  $nf = a_mf^* = a_mf$ , i.e.,  $(n, a_m) \in \ker f$  and  $n\eta = a_m\eta = a_1$ . So, there is  $x^* \in T$  such that  $x^*((\theta|_T)\eta^k) = a_m$ , i.e.,  $x^* = a_m((\theta|_T)\eta^k)^{-1}$ . Now, we have  $a = nf = a_mf^* = a_m((\theta|_T)\eta^k)^{-1}\beta = a_m(\eta^k|_Y)^{-1}(\theta|_T)^{-1}\beta = a_1(\eta|_Y)^{-1}(\eta^k|_Y)^{-1}(\theta|_T)^{-1}\beta = a_1((\theta|_T)\eta^{k+1})^{-1}\beta \neq a$ , a contradiction.

Finally, we will verify that  $\beta = \theta\eta^k f \in \langle \mathcal{O}(X, Y), \mathcal{A} \rangle$ . For this let  $x \in X$ . Then there is  $\tilde{x} \in T$  such that  $(x, \tilde{x}) \in \ker \beta$ . So, we have  $x\theta\eta^k f = x\theta\eta^k f^* = \tilde{x}\theta\eta^k((\theta|_T)\eta^k)^{-1}\beta = \tilde{x}\beta = x\beta$ .

Suppose now that  $\ker \beta \notin \mathcal{P}_i$  and, thus,  $\ker \beta \in \mathcal{Q}_i$ . Let  $X\beta = \{b_1, \dots, b_i\}$  such that  $b_1\beta^{-1} < \dots < b_i\beta^{-1}$ . Then we define a transformation  $\varphi$  by  $x\varphi = a_j$  for all  $x \in b_{j-1}\beta^{-1}$  and  $2 \leq j \leq i+1$ . Clearly,  $\varphi \in \mathcal{O}(X, Y)$ . Further, we define a transformation  $\nu \in \mathcal{T}(X, Y)$  by

$$x\nu = \begin{cases} b_{j-1}, & \text{if } a_j \leq x < a_{j+1}, \quad 2 \leq j \leq i, \\ b_i, & \text{otherwise.} \end{cases}$$

Since  $\beta$  is orientation-preserving, there is  $k \in \{1, \dots, i\}$  such that  $k = i$  or  $b_1 < \dots < b_{k-1} < b_k < \dots < b_i$ . Then  $X_1 = \{a_1, \dots, a_{k+1} - 1\}$  and  $X_2 = \{a_{k+1}, \dots, n\}$  gives a partition of  $X$  providing that  $\nu$  is orientation-preserving. Clearly,  $\text{rank } \nu = i$  and  $1\nu = n\nu = b_i$ . Thus, it is easy to verify that  $\ker \nu \in \mathcal{P}_i$ . Hence,  $\nu \in \langle \mathcal{O}(X, Y), \mathcal{A} \rangle$  by the previous case and it remains to show that  $\beta = \varphi\nu \in \langle \mathcal{O}(X, Y), \mathcal{A} \rangle$ . For this let  $x \in X$ . Then  $x \in b_j\beta^{-1}$  for some  $j \in \{1, \dots, i\}$ , i.e.,  $x\varphi\nu = a_{j+1}\nu = b_j = x\beta$ .

Proposition 1 is proved.

The previous proposition shows that  $\mathcal{A}$  is a relative generating set for  $\mathcal{OP}(X, Y)$  modulo  $\mathcal{O}(X, Y)$ . It remains to show that  $\mathcal{A}$  is of minimal size.

**Lemma 2.** *Let  $B \subseteq \mathcal{OP}(X, Y)$  be a relative generating set of  $\mathcal{OP}(X, Y)$  modulo  $\mathcal{O}(X, Y)$ . Then  $\mathcal{P}_m \subseteq \{\ker \alpha : \alpha \in B\}$ .*

**Proof.** Let  $P \in \mathcal{P}_m$ . Since  $\alpha_P \in \mathcal{OP}(X, Y) = \langle \mathcal{O}(X, Y), B \rangle$ , there are  $\theta_1 \in \mathcal{O}(X, Y) \cup B$  and  $\theta_2 \in \mathcal{OP}(X, Y)$  with  $\alpha_P = \theta_1\theta_2$ . Because of  $\text{rank } \alpha_P = m$ , we obtain  $\ker \alpha_P = \ker \theta_1$ . Since  $1\alpha_P = n\alpha_P$ , we conclude that  $\theta_1 \notin \mathcal{O}(X, Y)$ , i.e.,  $\theta_1 \in B$  with  $\ker \theta_1 = \ker \alpha_P = P$ .

Lemma 2 is proved.

In order to find a formula for the number of elements in  $\mathcal{P}_m$ , we have to compute the number of possible partitions of  $X$  into  $m + 1$  convex sets. This number is  $\binom{n-1}{m}$ .

**Remark 1.**  $|\mathcal{P}_m| = \binom{n-1}{m}$ .

Now, we are able to state the main result of the section. The relative rank of  $\mathcal{OP}(X, Y)$  modulo  $\mathcal{O}(X, Y)$  depends of the fact whether both 1 and  $n$  belong to  $Y$  or not.

**Theorem 1.** *For each  $1 < m < n \in \mathbb{N}$ ,*

- 1)  $\text{rank}(\mathcal{OP}(X, Y) : \mathcal{O}(X, Y)) = \binom{n-1}{m}$  if  $1 \notin Y$  or  $n \notin Y$ ;
- 2)  $\text{rank}(\mathcal{OP}(X, Y) : \mathcal{O}(X, Y)) = 1 + \binom{n-1}{m}$  if  $\{1, n\} \subseteq Y$ .

**Proof.** 1. Note that  $\ker \eta \in \mathcal{P}_m$  and  $\eta = \alpha_{P_0}$ . Hence, the set  $\mathcal{A} = \{\alpha_P : P \in \mathcal{P}_m\}$  is a generating set of  $\mathcal{OP}(X, Y)$  modulo  $\mathcal{O}(X, Y)$  by Proposition 1, i.e., the relative rank of  $\mathcal{OP}(X, Y)$  modulo  $\mathcal{O}(X, Y)$  is bounded by the cardinality of  $\mathcal{P}_m$ , which is  $\binom{n-1}{m}$  by Remark 1. But this number cannot be reduced by Lemma 2.

2. Let  $B \subseteq \mathcal{OP}(X, Y)$  be a relative generating set of  $\mathcal{OP}(X, Y)$  modulo  $\mathcal{O}(X, Y)$ . By Lemma 2, we know that  $\mathcal{P}_m \subseteq \{\ker \alpha : \alpha \in B\}$ . Assume that the equality holds. Note that  $\ker \eta \in \mathcal{Q}_m$  and  $\eta$  is not order-preserving. Hence, there are  $\theta_1, \dots, \theta_l \in \mathcal{O}(X, Y) \cup B$  for a suitable natural number  $l$ , such that  $\eta = \theta_1 \dots \theta_l$ . From  $\text{rank } \eta = m$ , we obtain  $\ker \theta_1 = \ker \eta$  and  $\text{rank } \theta_i = m$  for  $i \in \{1, \dots, l\}$  and, thus,  $\{1, n\} \subseteq Y$  implies  $(1, n) \notin \ker \theta_i$  for  $i \in \{2, \dots, l\}$ . This implies  $\theta_2, \dots, \theta_l \in \mathcal{O}(X, Y)$ . Since  $\ker \theta_1 = \ker \eta \notin \mathcal{P}_m$ , we get  $\theta_1 \in \mathcal{O}(X, Y)$ , and, consequently,  $\eta = \theta_1\theta_2 \dots \theta_l \in \mathcal{O}(X, Y)$ , a contradiction. So, we have verified that  $|\mathcal{P}_m| < |B|$ , i.e., the relative rank of  $\mathcal{OP}(X, Y)$  modulo  $\mathcal{O}(X, Y)$  is greater than  $\binom{n-1}{m}$ . But it is bounded by  $1 + \binom{n-1}{m}$  due to Proposition 1. This proves the assertion.

Theorem 1 is proved.

We finish this section with the characterization of the minimal relative generating sets of  $\mathcal{OP}(X, Y)$  modulo  $\mathcal{O}(X, Y)$ . We will recognize that among them there are sets with size greater than  $\text{rank}(\mathcal{OP}(X, Y) : \mathcal{O}(X, Y))$ .

**Theorem 2.** *Let  $B \subseteq \mathcal{OP}(X, Y)$ . Then  $B$  is a minimal relative generating set of  $\mathcal{OP}(X, Y)$  modulo  $\mathcal{O}(X, Y)$  if and only if for the set  $\tilde{B} = \{\beta \in B : \ker \beta \in \mathcal{Q}_m\} \subseteq B$  the following three statements are satisfied:*

- (i)  $\mathcal{P}_m \subseteq \{\ker \beta : \beta \in B \setminus \tilde{B}\}$ ,
- (ii)  $|B \setminus \tilde{B}| = |\mathcal{P}_m|$ ,
- (iii)  $\eta|_Y \in \langle \beta|_Y : \beta \in B \rangle$  but  $\eta|_Y \notin \langle \beta|_Y : \beta \in B \setminus \{\gamma\} \rangle$  for any  $\gamma \in \tilde{B}$ .

**Proof.** Suppose that the conditions (i)–(iii) are satisfied for  $\tilde{B} = \{\beta \in B : \ker \beta \in \mathcal{Q}_m\}$ . We will show that  $\mathcal{A} \subseteq \langle \mathcal{O}(X, Y), B \rangle$ . Let  $\alpha \in \mathcal{A} \setminus \{\eta\}$ . Then there is a partition  $P = \{A_1, A_2 < \dots < A_m\} \in \mathcal{P}_m$  such that

$$\alpha = \alpha_P = \begin{pmatrix} A_1 & A_2 & \dots & A_m \\ a_1 & a_2 & \dots & a_m \end{pmatrix}, \quad \text{if } 1 \notin Y \text{ or } n \notin Y,$$

or

$$\alpha = \alpha_P = \begin{pmatrix} A_1 & A_2 & \dots & A_{m-1} & A_m \\ a_2 & a_3 & \dots & a_m & a_1 \end{pmatrix}, \quad \text{if } 1, n \in Y.$$

Notice that in the latter case  $a_1 = 1$  and  $a_m = n$ .

Further, from (i) it follows that there is  $\beta \in B$  with  $\ker \beta = \ker \alpha_P$ , i.e.,  $\beta = \alpha_P$  or

$$\beta = \begin{pmatrix} A_1 & A_2 & \dots & A_{m-i+1} & A_{m-i+2} & \dots & A_m \\ a_i & a_{i+1} & \dots & a_m & a_1 & \dots & a_{i-1} \end{pmatrix}$$

for some  $i \in \{3, \dots, m\}$ . It is easy to verify that  $\alpha_P = \beta^k \in \langle B \rangle$  for a suitable natural number  $k$ . Hence,  $\{\alpha_P : P \in \mathcal{P}_m\} \subseteq \langle \mathcal{O}(X, Y), B \rangle$ . Further,  $\ker \eta \in \mathcal{P}_m$ , whenever  $1 \notin Y$  or  $n \notin Y$ , and  $\ker \eta \in \mathcal{Q}_m$  otherwise. Thus, there is  $\delta \in \langle \mathcal{O}(X, Y), B \rangle$  with  $\ker \delta = \ker \eta$ . Then we obtain as above that  $\eta = \delta^l \in \langle \mathcal{O}(X, Y), B \rangle$  for a suitable natural number  $l$ . Consequently,  $\langle \mathcal{O}(X, Y), \mathcal{A} \rangle \subseteq \langle \mathcal{O}(X, Y), B \rangle$ . By Proposition 1, we obtain  $\mathcal{OP}(X, Y) = \langle \mathcal{O}(X, Y), B \rangle$ . The generating set  $B$  is minimal by properties (i) and (ii) together with Lemma 2 and by the property (iii) of  $\tilde{B}$ .

Conversely, let  $B$  be a minimal relative generating set of  $\mathcal{OP}(X, Y)$  modulo  $\mathcal{O}(X, Y)$ . By Lemma 2, there is a set  $\bar{B} \subseteq B$  such that  $\mathcal{P}_m = \{\ker \beta : \beta \in \bar{B}\}$  and  $|\bar{B}| = |\mathcal{P}_m|$ . Since  $\mathcal{OP}(X, Y) = \langle \mathcal{O}(X, Y), B \rangle$ , there are  $\beta_1, \dots, \beta_k \in \mathcal{O}(X, Y) \cup B$  such that  $\eta = \beta_1 \dots \beta_k$ . Without loss of generality, we can assume that there is not  $\gamma \in \{\beta_i : 1 \leq i \leq k, \ker \beta_i \in \mathcal{Q}_m\} =: \hat{B}$  such that  $\eta$  is a product of transformations in  $\bar{B} \cup (\hat{B} \setminus \{\gamma\})$ . In the first part of the proof, we have shown that  $\bar{B} \cup \hat{B}$  is a relative generating set of  $\mathcal{OP}(X, Y)$  modulo  $\mathcal{O}(X, Y)$ . Because of the minimality of  $B$ , we obtain  $B = \bar{B} \cup \hat{B}$ , where  $\{\ker \beta : \beta \in B \setminus \hat{B}\} \supseteq \mathcal{P}_m$ ,  $|B \setminus \hat{B}| = |\bar{B}| = |\mathcal{P}_m|$  and  $\eta|_Y \in \langle \beta|_Y : \beta \in B \rangle$  but  $\eta|_Y \notin \langle \beta|_Y : \beta \in B \setminus \{\gamma\} \rangle$  for any  $\gamma \in \hat{B}$ .

Theorem 2 is proved.

In particular, for the relative generating sets of minimal size we have the following remark.

**Remark 2.**  $B \subseteq \mathcal{OP}(X, Y)$  is a relative generating set of  $\mathcal{OP}(X, Y)$  modulo  $\mathcal{O}(X, Y)$  of minimal size if and only if  $|\bar{B}| = 1$  if  $1, n \in Y$  and  $\tilde{B} = \emptyset$ , otherwise.

**3. The relative rank of  $\mathcal{T}(X, Y)$  modulo  $\mathcal{OP}(X, Y)$ .** In this section, we determine the relative rank of  $\mathcal{T}(X, Y)$  modulo  $\mathcal{OP}(X, Y)$  and characterize all minimal relative generating sets of  $\mathcal{T}(X, Y)$  modulo  $\mathcal{OP}(X, Y)$ . Since  $\mathcal{O}(X, Y) \leq \mathcal{OP}(X, Y)$ , we see immediately that  $\text{rank}(\mathcal{T}(X, Y) : \mathcal{OP}(X, Y)) \leq S(n, m) - \binom{n-1}{m-1} + 1$ . First, we state a sufficient condition for a set  $B \subseteq \mathcal{T}(X, Y)$  to be a relative generating set of  $\mathcal{T}(X, Y)$  modulo  $\mathcal{OP}(X, Y)$ .

**Lemma 3.** *Let  $B \subseteq \mathcal{T}(X, Y)$ . If  $\mathcal{R}_m \subseteq \{\ker \beta : \beta \in B\}$  and  $\mathcal{S}(Y) \subseteq \langle \{\beta|_Y : \beta \in B\}, \eta|_Y \rangle$ , then  $\langle \mathcal{OP}(X, Y), B \rangle = \mathcal{T}(X, Y)$ .*

**Proof.** Let  $\gamma \in \mathcal{T}(X, Y)$  with  $\text{rank } \gamma = k \leq m$ . We will consider two cases.

*Case 1.* Suppose that  $\ker \gamma \in \mathcal{R}_k$ . Then  $\ker \gamma$  contains a non-convex set which cannot be decomposed into two convex sets, which contain 1 and  $n$ , respectively. Since  $k \leq m$ , we can divide the partition  $\ker \gamma$  into a partition  $P \in \mathcal{R}_m$  such that  $P$  contains a non-convex set which cannot be decomposed into two convex sets, which contain 1 and  $n$ , respectively (if  $k = m$ , then we put  $P = \ker \gamma$ ). Since  $\mathcal{R}_m \subseteq \{\ker \beta : \beta \in B\}$ , there is  $\lambda \in B$  with  $\ker \lambda = P$ . It is clear that  $X\lambda = Y$ .

Further, let  $X\gamma = \{y_1 < y_2 < \dots < y_k\}$  and define the sets

$$A_i = \{x \in Y : x\lambda^{-1} \subseteq y_i\gamma^{-1}\}$$

for  $i = 1, \dots, k$ . It is clear that  $\{A_1, A_2, \dots, A_k\}$  is a partition of  $Y$ . Moreover, let  $\{C_1 < C_2 < \dots < C_k\} \in \mathcal{Q}_k$  be a partition of  $X$  such that  $|C_i \cap Y| = |A_i|$  for all  $i = 1, \dots, k$ . Let  $A_i = \{a_{i_1} < \dots < a_{i_{t_i}}\}$  and  $C_i \cap Y = \{c_{i_1} < c_{i_2} < \dots < c_{i_{t_i}}\}$  with  $t_i \in \{1, \dots, m\}$  for  $i \in \{1, \dots, k\}$ . We define a bijection

$$\sigma : \bigcup_{i=1}^k A_i = Y \longrightarrow \bigcup_{i=1}^k (C_i \cap Y) = Y$$

on  $Y$  with  $a_{i_l}\sigma = c_{i_l}$  for  $l = 1, \dots, t_i$  and  $i = 1, \dots, k$ . Since  $\sigma \in \mathcal{S}(Y)$  and  $\mathcal{S}(Y) \subseteq \langle \{\beta|_Y : \beta \in B\}, \eta|_Y \rangle$ , there is  $\mu \in \langle B, \eta \rangle$  with  $\mu|_Y = \sigma$ .

Finally, we define a transformation  $\nu \in \mathcal{O}(X, Y) \subseteq \mathcal{OP}(X, Y)$  with  $\ker \nu = \{C_1 < C_2 < \dots < C_k\}$  and  $x\nu = y_i$  for all  $x \in C_i$  and  $i = 1, \dots, k$ .

Therefore, we have  $\lambda, \mu, \nu \in \langle \mathcal{OP}(X, Y), B \rangle$  and it remains to show that  $\gamma = \lambda\mu\nu$ , i.e.,  $\gamma \in \langle \mathcal{OP}(X, Y), B \rangle$ . Let  $x \in X$ . Then  $x\gamma = y_i$  for some  $i \in \{1, \dots, k\}$  and we get

$$x\gamma = y_i \Rightarrow x\lambda = z \in A_i \Rightarrow z\mu = u \in C_i \cap Y \Rightarrow u\nu = y_i.$$

Hence,  $x\gamma = y_i = x(\lambda\mu\nu)$  and we conclude  $\gamma = \lambda\mu\nu$ .

*Case 2.* Suppose that  $\ker \gamma \notin \mathcal{R}_k$ , i.e.,  $\ker \gamma \in \mathcal{Q}_k \cup \mathcal{P}_k$  and there is  $\rho_1 \in \mathcal{OP}(X, Y)$  with  $\ker \rho_1 = \ker \gamma$ . Further, there is a partition  $P = \{D_y : y \in X\rho_1\} \in \mathcal{R}_k$  such that  $y \in D_y$  for all  $y \in X\rho_1$ . Then we define a transformation  $\rho_2 : X \rightarrow X\gamma$  with  $\ker \rho_2 = P$  and  $\{x\rho_2\} = y\rho_1^{-1}\gamma$  for all  $x \in D_y$  and  $y \in X\rho_1$ . Since  $\ker \rho_1 = \ker \gamma$ , the transformation  $\rho_2$  is well defined and we have  $\gamma = \rho_1\rho_2$ . Moreover,  $\rho_2 \in \langle \mathcal{OP}(X, Y), B \rangle$  by Case 1 (since  $\ker \rho_2 \in \mathcal{R}_k$ ) and thus  $\gamma = \rho_1\rho_2 \in \langle \mathcal{OP}(X, Y), B \rangle$ .

Lemma 3 is proved.

**Lemma 4.**  $\langle \eta|_Y \rangle = \langle \{\beta|_Y : \beta \in \mathcal{OP}(X, Y)\} \rangle \cap \mathcal{S}(Y)$ .

**Proof.** The inclusion  $\langle \eta|_Y \rangle \subseteq \langle \{\beta|_Y : \beta \in \mathcal{OP}(X, Y)\} \rangle \cap \mathcal{S}(Y)$  is obviously. Let now  $\beta \in \mathcal{OP}(X, Y)$  with  $\beta|_Y \in \mathcal{S}(Y)$ . Then there is  $k \in \{1, \dots, m\}$  such that

$$\beta = \begin{pmatrix} A_1 & \dots & A_{m-k+1} & A_{m-k} & \dots & A_m \\ a_k & \dots & a_m & a_1 & \dots & a_{k-1} \end{pmatrix}$$

with  $\{A_1, A_2 < \dots < A_m\} \in \mathcal{P}_m \cup \mathcal{Q}_m$  and  $a_i \in A_i$  for  $i \in \{1, \dots, m\}$  since  $Y$  is a transversal of  $\ker \beta$ . Thus,

$$\beta|_Y = \begin{pmatrix} a_1 & \dots & a_{m-k+1} & a_{m-k} & \dots & a_m \\ a_k & \dots & a_m & a_1 & \dots & a_{k-1} \end{pmatrix} = (\eta|_Y)^{m-k+1} \in \langle \eta|_Y \rangle.$$

This shows that  $\langle \{\beta|_Y : \beta \in \mathcal{OP}(X, Y)\} \rangle \cap \mathcal{S}(Y) \subseteq \{(\eta|_Y)^p : p \in \mathbb{N}\} = \langle \eta|_Y \rangle$ .

Lemma 4 is proved.

The following lemmas give us necessary conditions for a set  $B \subseteq \mathcal{T}(X, Y)$  to be a relative generating set of  $\mathcal{T}(X, Y)$  modulo  $\mathcal{OP}(X, Y)$ .

**Lemma 5.** *Let  $B \subseteq \mathcal{T}(X, Y) \setminus \mathcal{OP}(X, Y)$  with  $\langle \mathcal{OP}(X, Y), B \rangle = \mathcal{T}(X, Y)$ . Then  $\mathcal{S}(Y) \subseteq \langle \{\beta|_Y : \beta \in B\}, \eta|_Y \rangle$ .*

**Proof.** Let  $\sigma \in \mathcal{S}(Y)$ . We extend  $\sigma$  to a transformation  $\gamma : X \rightarrow Y$ , i.e.,  $\gamma|_Y = \sigma$ . Hence, there are  $\gamma_1, \dots, \gamma_k \in \mathcal{OP}(X, Y) \cup B$  (for a suitable natural number  $k$ ) such that  $\gamma = \gamma_1 \dots \gamma_k$ . Since the image of any transformation in  $\mathcal{T}(X, Y)$  belongs to  $Y$ , we have  $\sigma = \gamma|_Y = \gamma_1|_Y \dots \gamma_k|_Y$ . Moreover, from  $\sigma \in \mathcal{S}(Y)$ , we conclude  $\gamma_i|_Y \in \mathcal{S}(Y)$  for  $1 \leq i \leq k$ . Let  $\gamma_i \in \mathcal{OP}(X, Y)$  for some  $i \in \{1, \dots, k\}$ . Then by Lemma 4

$$\gamma_i|_Y = \begin{pmatrix} a_1 & \dots & a_t & a_{t+1} & \dots & a_m \\ a_{m-t+1} & \dots & a_m & a_1 & \dots & a_{m-t} \end{pmatrix} \in \langle \eta|_Y \rangle$$

for a suitable natural number  $t$ . This shows  $\sigma \in \langle \{\beta|_Y : \beta \in B\}, \eta|_Y \rangle$ .

Lemma 5 is proved.

**Lemma 6.** *Let  $B \subseteq \mathcal{T}(X, Y) \setminus \mathcal{OP}(X, Y)$  with  $\langle \mathcal{OP}(X, Y), B \rangle = \mathcal{T}(X, Y)$ . Then  $\mathcal{R}_m \subseteq \{\ker \beta : \beta \in B\}$ .*

**Proof.** Assume that there is  $P \in \mathcal{R}_m$  with  $P \notin \{\ker \beta : \beta \in B\}$ . Let  $\gamma \in \mathcal{T}(X, Y)$  with  $\ker \gamma = P$ . Then there are  $\theta_1 \in \mathcal{OP}(X, Y) \cup B$  and  $\theta_2 \in \mathcal{T}(X, Y)$  such that  $\gamma = \theta_1 \theta_2$ . Since  $\text{rank } \gamma = m$ , we obtain  $\ker \gamma = \ker \theta_1 = P$ . Thus,  $\theta_1 \notin B$ , i.e.,  $\theta_1 \in \mathcal{OP}(X, Y)$  and  $\ker \theta_1 \in \mathcal{Q}_m \cup \mathcal{P}_m$ , contradicts  $\ker \theta_1 = P \in \mathcal{R}_m$ .

Lemma 6 is proved.

Lemma 6 shows that  $\text{rank}(\mathcal{T}(X, Y) : \mathcal{OP}(X, Y)) \geq |\mathcal{R}_m|$ . We will verify the equality.

**Lemma 7.**  $|\mathcal{R}_m| = S(m, n) - \binom{n}{m}$ .

**Proof.** The cardinality of the set  $\mathcal{D}_m := \mathcal{R}_m \cup \mathcal{P}_m$  was determined in [16]. The authors show that  $|\mathcal{D}_m| = S(m, n) - \binom{n-1}{m-1}$ . Because of  $\mathcal{R}_m \cap \mathcal{P}_m = \emptyset$ , we obtain  $\mathcal{R}_m = \mathcal{D}_m \setminus \mathcal{P}_m$ . Since  $|\mathcal{P}_m| = \binom{n-1}{m}$  (see Remark 1) it follows

$$|\mathcal{R}_m| = |\mathcal{D}_m| - |\mathcal{P}_m| = S(m, n) - \binom{n-1}{m-1} - \binom{n-1}{m} = S(m, n) - \binom{n}{m}.$$

Lemma 7 is proved.

Finally, we can state the relative rank of  $\mathcal{T}(X, Y)$  modulo  $\mathcal{OP}(X, Y)$ .



**Theorem 3.**  $\text{rank}(\mathcal{T}(X, Y) : \mathcal{OP}(X, Y)) = S(m, n) - \binom{n}{m}$ .

**Proof.** If  $m = 1$  then  $\mathcal{T}(X, Y) = \mathcal{OP}(X, Y)$ , i.e.,  $\text{rank}(\mathcal{T}(X, Y) : \mathcal{OP}(X, Y)) = 0$ . On the other hand, we have  $S(1, n) = n = \binom{n}{1}$ . Suppose now that  $n \geq 2$ . By Lemmas 6 and 7, we obtain

$\text{rank}(\mathcal{T}(X, Y) : \mathcal{OP}(X, Y)) \geq |\mathcal{R}_m| = S(m, n) - \binom{n}{m}$ . In order to prove the equality, we have to find a relative generating set  $B$  of  $\mathcal{T}(X, Y)$  modulo  $\mathcal{OP}(X, Y)$  with  $|B| = |\mathcal{R}_m|$ . We observe that for each  $P \in \mathcal{R}_m$ , there is  $\beta_P \in \mathcal{T}(X, Y)$  with  $\ker \beta_P = P$ , which will be fixed. Let  $\mathcal{B} := \{\beta_P : P \in \mathcal{R}_m\}$ . If  $m = 2$  then  $\mathcal{R}_m = \emptyset$  and  $\mathcal{S}(Y) = \{\eta|_Y, (\eta|_Y)^2\} = \langle \eta|_Y \rangle$ , obviously. If  $m \geq 3$  then without loss of generality, we can assume that there is  $P' \in \mathcal{R}_m$  such that  $Y$  is a transversal of  $\ker \beta_{P'}$  and  $\beta_{P'}|_Y = \begin{pmatrix} a_1 & a_2 & a_3 & \cdots & a_m \\ a_2 & a_1 & a_3 & \cdots & a_m \end{pmatrix}$ . It is known that  $\mathcal{S}(Y) = \langle \beta_{P'}|_Y, \eta|_Y \rangle$ . Hence,  $\mathcal{B}$  is a relative generating set of  $\mathcal{T}(X, Y)$  modulo  $\mathcal{OP}(X, Y)$  by Lemma 3. Since  $|\mathcal{B}| = |\mathcal{R}_m|$ , we obtain the required result.

Theorem 3 is proved.

Now we will characterize the minimal relative generating sets of  $\mathcal{T}(X, Y)$  modulo  $\mathcal{OP}(X, Y)$ . The minimal relative generating sets do not coincide with the relative generating sets of size  $\text{rank}(\mathcal{T}(X, Y) : \mathcal{OP}(X, Y))$ .

**Theorem 4.** Let  $B \subseteq \mathcal{T}(X, Y)$ . Then  $B$  is a minimal relative generating set of  $\mathcal{T}(X, Y)$  modulo  $\mathcal{OP}(X, Y)$  if and only if there is a set  $\tilde{B} \subseteq B$  such that the following three statements are satisfied:

- (i)  $\mathcal{R}_m \subseteq \{\ker \beta : \beta \in B \setminus \tilde{B}\}$ ,
- (ii)  $|B \setminus \tilde{B}| = |\mathcal{R}_m|$ ,
- (iii)  $\mathcal{S}(Y) \subseteq \langle \{\beta|_Y : \beta \in B\}, \eta|_Y \rangle$  but  $\mathcal{S}(Y) \not\subseteq \langle \{\beta|_Y : \beta \in B \setminus \{\gamma\}\}, \eta|_Y \rangle$  for any  $\gamma \in B$  with  $\ker \gamma \in \{\ker \beta : \beta \in \tilde{B}\}$ .

**Proof.** Suppose that the conditions (i)–(iii) are satisfied. Then by Lemma 3 we have  $\langle \mathcal{OP}(X, Y), B \rangle = \mathcal{T}(X, Y)$ . It remains to show that  $B$  is minimal. Assume that there is  $\gamma \in B$  such that  $\langle \mathcal{OP}(X, Y), B \setminus \{\gamma\} \rangle = \mathcal{T}(X, Y)$ . Note that  $\alpha\beta|_Y = \alpha|_Y\beta|_Y$  for all  $\alpha, \beta \in \mathcal{T}(X, Y)$ . Hence, we can conclude that

$$\begin{aligned} \mathcal{S}(Y) &\subseteq \langle \{\beta|_Y : \beta \in \mathcal{T}(X, Y)\} \rangle \subseteq \\ &\subseteq \langle \{\beta|_Y : \beta \in \mathcal{OP}(X, Y) \cup (B \setminus \{\gamma\})\} \rangle = \langle \{\beta|_Y : \beta \in B \setminus \{\gamma\}\}, \eta|_Y \rangle \end{aligned}$$

by Lemma 4. Hence,  $\ker \gamma \notin \{\ker \beta : \beta \in \tilde{B}\}$  by (iii). This implies that  $\gamma \in B \setminus \tilde{B}$  and  $|(B \setminus \tilde{B}) \setminus \{\gamma\}| < |\mathcal{R}_m|$  by (ii), i.e.,  $\mathcal{R}_m \not\subseteq \{\ker \beta : \beta \in (B \setminus \tilde{B}) \setminus \{\gamma\}\}$ . Since  $\ker \gamma \notin \{\ker \beta : \beta \in \tilde{B}\}$ , we have  $\mathcal{R}_m \not\subseteq \{\ker \beta : \beta \in (B \setminus \{\gamma\})\}$  and, by Lemma 6, we obtain that  $\langle \mathcal{OP}(X, Y), B \setminus \{\gamma\} \rangle \neq \mathcal{T}(X, Y)$ , a contradiction. This shows that  $B$  is a minimal relative generating set of  $\mathcal{T}(X, Y)$  modulo  $\mathcal{OP}(X, Y)$ .

Conversely, let  $B$  be a minimal relative generating set of  $\mathcal{T}(X, Y)$  modulo  $\mathcal{OP}(X, Y)$ . We have  $\mathcal{R}_m \subseteq \{\ker \beta : \beta \in B\}$  and  $\mathcal{S}(Y) \subseteq \langle \{\beta|_Y : \beta \in B\}, \eta|_Y \rangle$  by Lemmas 5 and 6, respectively. Then there exists a set  $\tilde{B} \subseteq B$  with  $|B \setminus \tilde{B}| = |\mathcal{R}_m|$  and  $\mathcal{R}_m \subseteq \{\ker \beta : \beta \in (B \setminus \tilde{B})\}$ . For the set  $\tilde{B}$ , the conditions (i) and (ii) are satisfied. Assume now that there is  $\gamma \in B$  with  $\ker \gamma \in \{\ker \beta : \beta \in \tilde{B}\}$  such that  $\mathcal{S}(Y) \subseteq \langle \{\beta|_Y : \beta \in B \setminus \{\gamma\}\}, \eta|_Y \rangle$ . Then because of  $\mathcal{R}_m \subseteq \{\ker \beta : \beta \in (B \setminus \{\gamma\})\}$ , the set  $B \setminus \{\gamma\}$  is a relative generating set of  $\mathcal{T}(X, Y)$  modulo  $\mathcal{OP}(X, Y)$  by Lemma 3. This contradicts the minimality of  $B$ . Consequently, (iii) is satisfied.

Theorem 4 is proved.

In particular, for the relative generating sets of minimal size we have the following remark.

**Remark 3.**  $B \subseteq \mathcal{T}(X, Y)$  is a relative generating set of  $\mathcal{T}(X, Y)$  modulo  $\mathcal{OP}(X, Y)$  of minimal size if and only if  $\widetilde{B} = \emptyset$ .

### References

1. P. M. Catarino, P. M. Higgins, *The monoid of orientation-preserving mappings on a chain*, Semigroup Forum, **58**, 190–206 (1999).
2. I. Dimitrova, V. H. Fernandes, J. Koppitz, *A note on generators of the endomorphism semigroup of an infinite countable chain*, J. Algebra and Appl., **16**, № 2, Article 1750031 (2017).
3. I. Dimitrova, J. Koppitz, K. Tinpun, *On the relative rank of the semigroup of orientation-preserving transformations with restricted range*, Proc. 47th Spring Conf. Union Bulg. Math., 109–114 (2018).
4. V. H. Fernandes, P. Honyam, T. M. Quinteiro, B. Singha, *On semigroups of endomorphisms of a chain with restricted range*, Semigroup Forum, **89**, 77–104 (2014).
5. V. H. Fernandes, P. Honyam, T. M. Quinteiro, B. Singha, *On semigroups of orientation-preserving transformations with restricted range*, Commun. Algebra, **44**, 253–264 (2016).
6. V. H. Fernandes, J. Sanwong, *On the rank of semigroups of transformations on a finite set with restricted range*, Algebra Colloq., **21**, 497–510 (2014).
7. G. M. S. Gomes, J. M. Howie, *On the rank of certain semigroups of order-preserving transformations*, Semigroup Forum, **51**, 275–282 (1992).
8. G. M. S. Gomes, J. M. Howie, *On the ranks of certain finite semigroups of transformations*, Math. Proc. Cambridge Phil. Soc., **101**, 395–403 (1987).
9. P. M. Higgins, J. D. Mitchell, N. Ruškuc, *Generating the full transformation semigroup using order preserving mappings*, Glasgow Math. J., **45**, 557–566 (2003).
10. J. M. Howie, *Fundamentals of semigroup theory*, Oxford Univ. Press, Oxford (1995).
11. J. M. Howie, R. B. McFadden, *Idempotent rank in finite full transformation semigroups*, Proc. Roy. Soc. Edinburgh A, **114**, 161–167 (1990).
12. J. M. Howie, N. Ruškuc, P. M. Higgins, *On relative ranks of full transformation semigroups*, Commun. Algebra, **26**, 733–748 (1998).
13. D. McAlister, *Semigroups generated by a group and an idempotent*, Commun. Algebra, **26**, 515–547 (1998).
14. N. Ruškuc, *On the rank of completely 0-simple semigroups*, Math. Proc. Cambridge Phil. Soc., **116**, 325–338 (1994).
15. J. S. V. Symons, *Some results concerning a transformation semigroup*, J. Austr. Math. Soc., **19**, 413–425 (1975).
16. K. Tinpun, J. Koppitz, *Relative rank of the finite full transformation semigroup with restricted range*, Acta Math. Univ. Comenian., **85**, № 2, 347–356 (2016).

Received 04.09.18