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# FEATURES OF THE GENERATION OF ENERGY STATES IN THE $Lu_{1-x}V_xNiSb$ SEMICONDUCTOR

A comprehensive study of the crystal and electronic structures, thermodynamic, kinetic, energy, and magnetic properties of the  $Lu_{1-x}V_xNiSb$  semiconductor  $(x=0\div 0.10)$  has revealed the possibility for impurity V atoms to simultaneously occupy different crystallographic positions. At the same time, defects of the acceptor or donor nature are generated in the crystal structure of the  $Lu_{1-x}V_xNiSb$  solid solution, and the corresponding energy states appear in the band gap  $\epsilon_g$ . The concentration ratio of donor-acceptor states determines the position of the Fermi level  $\epsilon_F$  and the mechanisms of electrical conductivity of  $Lu_{1-x}V_xNiSb$ . The results of the modeling of thermodynamic and transport properties of the semiconductor are consistent with experimental data. Understanding the mechanism of energy state generation in the semiconductor  $Lu_{1-x}V_xNiSb$  allows the modeling and production of new thermoelectric materials with a high efficiency of converting the thermal energy into the electrical one.

Keywords: Fermi level, electronic structure, electrical resistivity, thermopower coefficient.

### 1. Introduction

Continuing the program of the finding of new thermoelectric materials, the structural, thermodynamic, magnetic, kinetic, and energy properties of the semiconductor solid solution  $\text{Lu}_{1-x}\text{V}_x\text{NiSb}$ ,  $x=0\div0.10$ , obtained by doping the p-LuNiSb semiconductor with V atoms through replacing the rare earth Lu atoms

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in the crystallographic site 4a, were investigated. The peculiarity of such doping is that V atoms, having a relatively small atomic radius ( $r_{\rm V}=0.134$  nm) and the ability to be in different valence states, may show a variability in entering the structure of compounds and may occupy different crystallographic positions. This leads to the simultaneous generation of the defects of the acceptor, donor, or neutral nature in different ratios in the crystal structure, and the corresponding energy states appear in the band gap  $\epsilon_g$ . In addition, the ability of V atoms to change the valence state can change the magnetic state of the material.

Solid solutions based on semiconductor half-Heusler phases (structure type of MgAgAs, space group  $F\bar{4}3m$  [1]), in particular, TiNiSn, ZrNiSn, HfNiSn, TiCoSb, VFeSb, ZrCoSb, and RNiSb (R is a rare earth metal) are among the most studied thermo-

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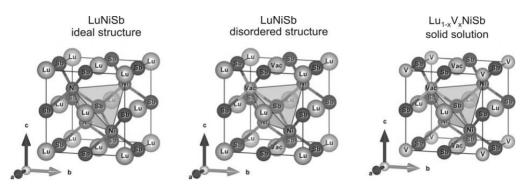


Fig. 1. Models of the crystal structure of LuNiSb and  $Lu_{1-x}V_xNiSb$ 

electric materials, because they have a high efficiency of converting the thermal energy into the electrical one [2]. Previous studies of the RNiSb half-Heusler phases (R–Y, Gd–Lu) [3–6] revealed some disorder of the crystal structure, and the authors of work [7] established its nature. It was shown that, in the crystallographic positions 4a of R atoms and 4c of Ni atoms (Fig. 1), there are vacancies (Vac), which are structural defects of the acceptor nature and generate acceptor states  $\epsilon_1^A$  in the band gap  $\epsilon_g$ , while holes are the main carriers of electricity. This is indicated by the positive values of the thermopower coefficient  $\alpha(T)$  [7].

In the studies of half-Heusler phases which contain V, in particular, in semiconductors  $Zr_{1-x}V_xNiSn$ [8],  $\operatorname{Ti}_{1-x} V_x \operatorname{NiSn}$  [9],  $\operatorname{Ti}_{1-x} V_x \operatorname{CoSb}$  [10], and  $V_{1-x}Ti_xFeSb$  [11], the mechanisms of simultaneous generation of structural defects of the acceptor and donor nature were revealed. Thus, thermodynamic calculations showed that, in  $Zr_{1-x}V_xNiSn$ , it is energetically favorable for V atoms to simultaneously partially occupy the 4c position of Ni atoms  $(3d^84s^2)$ , which generates structural defects of the acceptor nature (V has fewer 3d-electrons than Ni), as well as the 4a position of Zr atoms  $(4d^25s^2)$ , generating defects of the donor nature (V has more d-electrons than Zr). In the  $Zr_{1-x}V_xNiSn$  band gap, energy states of the donor and acceptor nature appear simultaneously in different ratios. The results of kinetic and energy studies of  $Zr_{1-x}V_xNiSn$  coincided with the results of DFT calculations of the density of electronic states for the case where the total concentration of V atoms (x)is distributed between two positions: 4a of Zr atoms (y) and 4c of Ni atoms (z), and x = y + z. In this case, the formula of the solid solution is transformed into  $(\operatorname{Zr}_{1-y}\operatorname{V}_y)(\operatorname{Ni}_{1-z}\operatorname{V}_z)\operatorname{Sn}$  [8].

At the same time, the introduction of atoms larger than V into the p-LuNiSb structure, in particular, Zr or Sc  $(d^14s^2)$  by substituting Lu atoms in the 4a position, did not lead to the occupation of other crystallographic positions by impurity atoms [12, 13]. In turn, DFT calculations of the free energy  $\Delta G(x)$  (Gibbs thermodynamic potential) and mixing enthalpy  $\Delta H_{\rm mix}$  showed the energetic feasibility of the existence of semiconductor solid solutions  ${\rm Lu}_{1-x}{\rm Zr}_x{\rm NiSb}$  and  ${\rm Lu}_{1-x}{\rm Sc}_x{\rm NiSb}$  in the concentration interval  $x=0\div 0.10$ . At higher concentrations, the decomposition of the solid solution occurs.

The peculiarity of doping p-LuNiSb with the Zr dopant impurity (Zr has more d-electrons than Lu) is the metallization of electrical conductivity at the lowest concentration of Zr. The authors of work [12] associated the rearrangement of the electronic structure of  $Lu_{1-x}Zr_xNiSb$  with structural changes. Thus, replacing Lu atoms with Zr atoms or occupying the last vacancies in position 4a generates structural defects of the donor nature, and the corresponding donor states appear in the band gap  $\epsilon_q$ . In the case of the substitution of Lu atoms for Sc in the 4a site in  $Lu_{1-x}Sc_xNiSb$ , defects of the neutral nature are generated (Lu and Sc atoms belong to the same group of the Periodic Table) [13]. When vacancies in position 4a are occupied by Sc atoms, defects of the acceptor nature and the corresponding acceptor states disappear, and defects of the donor nature and the corresponding donor states are generated. However, at all concentrations of  $Lu_{1-x}Sc_xNiSb$ , holes are the main charge carriers, and the Fermi level  $\epsilon_{\rm F}$  lies near the edge of the valence band  $\epsilon_V$ . Thus, the number of generated donors is not enough to change the conductivity type of the semiconductor.

In this context, the case where V atoms  $(3d^34s^2)$ are introduced into the p-LuNiSb structure by replacing Lu atoms  $(5d^16s^2)$  in the 4a position, which should generate structural defects of the donor nature (V has more d-electrons than Lu). Since the atomic radius of V is much smaller than that of Lu  $(r_{\rm Lu} = 0.173 \text{ nm})$  and close to the atomic radius of Ni  $(r_{\rm Ni} = 0.125 \text{ nm})$ , this may be a prerequisite for more complex changes in the crystal and electronic structures of  $Lu_{1-x}V_xNiSb$  associated with a possible partial occupation of the 4c position by V atoms by filling vacancies and/or substituting Ni atoms. This is one of the subjects of the research presented below. Knowledge of the peculiarities of the dynamics of the crystal and electronic structures of  $Lu_{1-x}V_xNiSb$  will allow the appropriate doping to fill vacancies and replace atoms of the base compound, by generating energy states that will meet the conditions for obtaining maximum values of the thermoelectric ZT-factor [2].

## 2. Experimental

Samples of the  $Lu_{1-x}V_xNiSb$  ( $x = 0 \div 0.10$ ) solid solution were synthesized by the arc-melting of a batch of appropriate amounts of Lu (99.9%), V (99.7%), Ni (99.99%), and Sb (99.999%) in the inert argon atmosphere followed by the homogenizing annealing at a temperature of 1073 K for 720 h. X-ray powder diffraction was performed on STOE STADI P (CuK $\alpha_1$ -radiation) and DRON-4.0 (FeK $\alpha$ -radiation) diffractometers. Lattice parameters were calculated using the Fullprof program [14]. The chemical and phase compositions of the samples were examined by energy dispersive X-ray spectroscopy (scanning electron microscope TESKAN VEGA 3 LMU). The DFT calculations were carried out with the Vienna Ab initio Simulation Package VASP v. 5.4.4 [15] with PAW-type potentials [16]. The Perdew-Burke-Enzerhoff exchange-correlation functional in the generalized gradient approximation (GGA) [17] with a  $11 \times 11 \times 11$  Monkhorst-Pack k-point set [18] were used. The planewave cut-off was set to 400 eV in all calculations. For the crystal structures with mixed occupancies, the supercell approach was used. In this case, the symmetry of the lattics was reduced, and all unique atom distributions were generated using the combinatorial approach [19]. The lattice parameters for such structures were optimized by varying the lattice volume subsequently fitted by the universal equation of state [20]. The calculations of the electronic transport coefficients were carried out with the Exciting code [21] (FLAPW method) by solving the linearized Boltzmann equation in the constant relaxation time approximation [22–24]. The temperature and concentration dependences of the resistivity  $(\rho)$ , thermopower coefficient  $(\alpha)$  relative to copper, and magnetic susceptibility  $(\chi)$  (Faraday method) were measured in the temperature interval  $T=80\div400~\mathrm{K}$ .

#### 3. Results and Discussion

# 3.1. Investigation of thermodynamic and structural properties

The thermodynamic modeling of the hypothetical  $Lu_{1-x}V_xNiSb$  solid solution showed positive values of the enthalpy of mixing in the whole concentration interval  $(x = 0 \div 1.0)$  (Fig. 2, a), which indicates that the Lu for V substitution is energetically unfavorable. However, the absolute values of the enthalpy of mixing are quite low. By applying the configurational entropy of mixing, it is possible to lower the free energy curve and to achieve a solubility of  $x \approx 0.05$  at T = 1000 K. The study of the chemical and phase compositions of  $Lu_{1-x}V_x$ NiSb samples,  $x = 0 \div 0.10$ , confirmed their overall composition, but, at the same time revealed the presense of a small amount of the LuNi<sub>3</sub> impurity concentrated between the grains of the main half-Heusler phase. Nevertheless, the EDX mapping showed that, up to 1 at.\% ( $x \approx 0.03$ ), Lu is substituted, indee, by V (Fig. 2, b) which is consistent with the thermodynamic modeling.

Based on the assumption that there is a continuous solid solution of  $Lu_{1-x}V_xNiSb$ ,  $x = 0 \div 1.0$ , the change of the lattice parameter a(x) for the ordered variant of the crystal structure was calculated by the PAW method (Fig. 3). In this case, all crystallographic positions are occupied by atoms corresponding to the MgAgAs structural type [1], and V atoms replace Lu atoms in position 4a. Modeling the change in the period of the  $Lu_{1-x}V_xNiSb$ ,  $x=0\div 1.0$ , (VASP [15]) shows a monotonous decrease of a(x)(Fig. 3). The obtained result is expectable, since the substitution of large Lu atoms ( $r_{Lu} = 0.173 \text{ nm}$ ) with smaller V atoms ( $r_{\rm V} = 0.134$  nm) in position 4a will certainly lead to a decrease of the lattice parameter a(x) of Lu<sub>1-x</sub>V<sub>x</sub>NiSb. At the same time, impurity donor states  $\epsilon_D^V$  will be formed in the  $\epsilon_g$  band gap of the  $Lu_{1-x}V_xNiSb$  semiconductor (V has more delectrons than Lu).

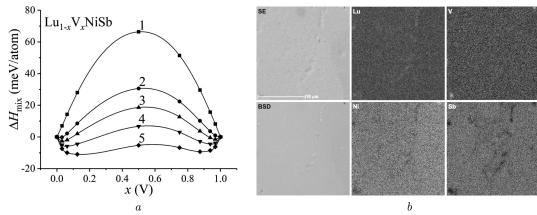
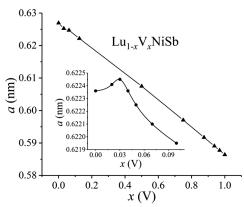


Fig. 2. Concentration dependences of the enthalpy of mixing  $\Delta H_{\rm mix}(x)$  (a) and SEM pictures (SE/BSD) with the mapping of elements of a Lu<sub>1-x</sub>V<sub>x</sub>NiSb sample (x = 0.03) (b). T = 0 K (1), T = 600 K (2), T = 800 K (3), T = 1000 K (4), T = 1200 K (5)

The powder XRD analysis showed that all powder patterns can be indexed in the MgAgAs-type structure and revealed the presence of a small amount of the impurity phase in all studied samples. Experimental values of the lattice parameters (Fig. 3, insert) of  $Lu_{1-x}V_xNiSb$ ,  $x=0\div0.10$ , deviate, at low concentrations, from the modeled ones and do not show a linear dependence. This may indicate that, in the crystal structure of  $Lu_{1-x}$ ,  $V_x$ NiSb undergoes more complex changes than the elementary replacement of Lu atoms by V atoms. Based on the shape of the a(x)dependence, one may speculate that the increase in the values of a(x) at concentrations  $x = 0 \div 0.03$  can occur, when V atoms occupy positions 4c of smaller Ni atoms ( $r_{\text{Ni}} = 0.125 \text{ nm}$ ). At the same time, V atoms  $(3d^34s^2)$  can replace Ni atoms  $(3d^84s^2)$ , by generating structural defects of the acceptor nature (V has fewer 3d-electrons than Ni), and occupy available vacancies in the 4c position, by generating structural defects of the donor nature. At concentrations x > 0.03 (Fig. 3, insert), the behavior of the lattice parameter is obviously related to the predominant occupation of crystallographic position 4a of Lu atoms by V atoms. However, when comparing the modeled and experimental absolute values and slopes of the a(x) dependence, it is easy to notice that, in comparison to the modeled one, the experimental dependence just barely decreases, confirming a very small solubility of V in LuNiSb.

## 3.2. Investigation of magnetic properties

Experimental measurements of the magnetic susceptibility  $\chi(x)$  of Lu<sub>1-x</sub>V<sub>x</sub>NiSb samples at room tem-



**Fig. 3.** Modeling of the lattice parameter a(x) of  $\text{Lu}_{1-x}\text{V}_x\text{NiSb}$ . Insert: experimental values of a(x)

perature (Fig. 4) showed that the semiconductor is a Pauli paramagnet with characteristically small values of the magnetic susceptibility ( $\chi \sim 10^{-6}$  emu/g). It is known that, in Pauli paramagnets, the magnetic susceptibility is determined exclusively by the electron gas and is proportional to the density of electronic states at the Fermi level  $g(\epsilon_{\rm F})$ , and the results of measuring the magnetic susceptibility  $\chi$  are a direct experimental method of establishing the fact of redistribution of the density of electronic states [9].

As is seen from Fig. 4, in the interval of concentrations  $x = 0.01 \div 0.04$ , the increase in the concentration of V atoms in the *p*-LuNiSb structure is accompanied by an increase in the magnetic susceptibility  $\chi(x)$ . However, at a concentration of  $x \approx 0.04$ , the dependence  $\chi(x)$  of Lu<sub>1-x</sub>V<sub>x</sub>NiSb passes through a maximum and, at x > 0.04, decreases to a concentra-

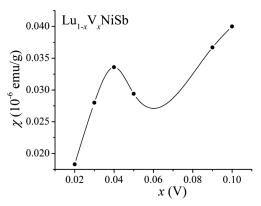


Fig. 4. Concentration dependence of the magnetic susceptibility  $\chi(x)$  of  $\mathrm{Lu}_{1-x}\mathrm{V}_x\mathrm{NiSb}$ 

tion of  $x \approx 0.065$ . Then, at x > 0.07, the magnetic susceptibility values increase again. Such N-like dependence of  $\chi(x)$  for  $\mathrm{Lu}_{1-x}\mathrm{V}_x\mathrm{NiSb}$  is a reflection of structural changes that cause a redistribution of the density of electronic states at low V concentrations, where the solubility takes place, and the influence of the metallic impurity phase for higher V concentrations is observed.

### 3.3. Investigation of kinetic properties

The results of the DFT modeling and experimental studies of the temperature dependences of the resistivity  $\rho(T,x)$  and thermopower coefficient  $\alpha(T,x)$  of  $Lu_{1-x}V_xNiSb$ ,  $x = 0 \div 0.10$ , are shown in Figs. 5-7. The calculated temperature dependences of the resistivity  $\rho(T)$  of p-LuNiSb (FLAPW method [21– 24) coincides with that obtained earlier [12, 13] and shows a decrease in the resistivity at temperatures  $T = 20 \div 50$  K (Fig. 5, a). This behavior of  $\rho(T)$  is typical of semiconductors, when the number of free charge carriers increases due to their activation from the Fermi level  $\epsilon_{\rm F}$  to the conduction band. In the case of p-LuNiSb, holes are activated from the acceptor states  $\epsilon_1^A$  into the valence band  $\epsilon_V$ , and the main carriers are holes, which is indicated by the positive values of the thermopower coefficient  $\alpha(T)$  (Fig. 6, a).

Doping p-LuNiSb with V atoms by substituting Lu atoms in the 4a position generates structural defects of the donor nature in the semiconductor  $\text{Lu}_{1-x}\text{V}_x\text{NiSb}$ , and the corresponding donor states  $\epsilon_D^V$  appear in the  $\epsilon_g$  band gap.

Modeling the resistivity  $\rho(T,x)$  of Lu<sub>1-x</sub>V<sub>x</sub>NiSb shows a rapid decrease in the temperature interval  $T=10\div35$  K. At temperatures T>35 K, this

change is insignificant. At the same time, the values of the thermopower coefficient, for example, for the semiconductor  $Lu_{0.99}V_{0.01}NiSb$  rapidly decrease and change their sign:  $\alpha_{10\;K}=132.3\,\mu\mathrm{V/K}$  and  $\alpha_{80~K} = -18.4 \,\mu\text{V/K}$  (Fig. 6). A change in the sign of the thermopower coefficient  $\alpha(T,x)$  indicates a change in the type of conductivity, when electrons become the main charge carriers. The Fermi level  $\epsilon_{\rm F}$  is located in the conduction band  $\epsilon_C$ , which ensures the metallic conductivity. At higher concentrations of V atoms, x > 0.03, at temperatures  $T = 10 \div 400$  K, the sign of the thermopower coefficient  $\alpha(T,x)$  remains negative, as does the metallic type of conductivity (Fig. 5, a). Obviously, at temperatures T > 20 K, there is a thermal ejection of electrons from donor states  $\epsilon_D^V$  into the conduction band  $\epsilon_C$ , which is accompanied by an increase in the concentration of free electrons. The Fermi level  $\epsilon_{\rm F}$  enters the conduction band  $\epsilon_{\rm C}$ : the insulator-metal conduction transition (Anderson transition [25]) takes place.

The experimental results for the resistivity  $\rho(T,x)$ and the thermopower coefficient  $\alpha(T,x)$  of the semiconductor  $Lu_{1-x}V_xNiSb$ ,  $x=0\div0.10$  are presented in Fig. 7. We can see that, at all  $Lu_{1-x}V_xNiSb$ concentrations, the temperature dependences of  $\ln(\rho(1/T,x))$  and  $\alpha(1/T,x)$  have high-temperature activation regions. This nature of a change in kinetic characteristics shows that the studied samples are doped and compensated semiconductors [26]. In addition, the presence of activation regions on the  $\ln(\rho(1/T,x))$  dependences at high temperatures indicates the location of the Fermi level  $\epsilon_{\rm F}$  in the band gap  $\epsilon_q$ , when charge carriers are activated into the conduction band. For samples with concentrations  $x = 0 - \langle 0.07, \text{ the Fermi level } \epsilon_{\rm F} \text{ lies closer to the}$ valence band  $\epsilon_V$ , as indicated by the positive values of the thermopower coefficient  $\alpha$  at high temperatures (Fig. 7, b). However, at concentrations x > 0.07, the Fermi level  $\epsilon_{\rm F}$  is located near the edge of the conduction band  $\epsilon_{\rm C}$ , since the values of the thermopower coefficient  $\alpha$  are negative at all temperatures.

The temperature dependences of  $\ln(\rho(1/T, x))$  Lu<sub>1-x</sub>V<sub>x</sub>NiSb (Fig. 7, a) can be described by formula (1) [26]:

$$\rho^{-1}(T) = \rho_1^{-1} \exp\left(-\frac{\varepsilon_1^{\rho}}{k_{\rm B}T}\right) + \rho_3^{-1} \exp\left(-\frac{\varepsilon_3^{\rho}}{k_{\rm B}T}\right), \quad (1)$$

where the first high-temperature term describes the activation of charge carriers  $\epsilon_1^{\rho}(x)$  from the Fermi level

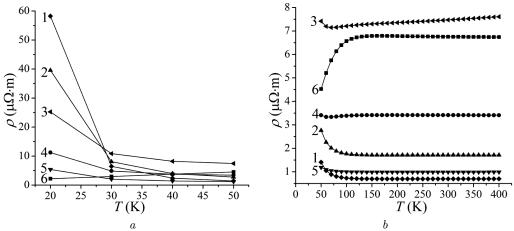


Fig. 5. DFT modeling of the temperature dependences of the resistivity  $\rho(T,x)$  of Lu<sub>1-x</sub>V<sub>x</sub>NiSb at low (a) and high (b) temperatures: x = 0.10 (1); x = 0.04 (2); x = 0 (3); x = 0.02 (4); x = 0.07 (5); x = 0.01 (6)

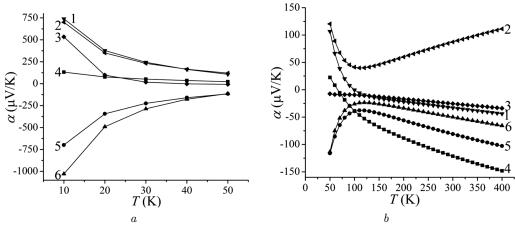


Fig. 6. DFT modeling of the temperature dependences of the thermopower coefficient  $\alpha(T,x)$  of  $\text{Lu}_{1-x}\text{V}_x\text{NiSb}$  at low (a) and high (b) temperatures: x=0.07 (1); x=0 (2); x=0.10 (3); x=0.01 (4); x=0.02 (5); x=0.04 (6)

 $\varepsilon_{\rm F}$  into the valence band  $\varepsilon_V$ , and the low-temperature term describes the hopping conductivity  $\epsilon_3^{\rho}(x)$  with energies close to the Fermi level  $\varepsilon_{\rm F}$ .

The temperature dependence of the thermopower coefficient  $\alpha(1/T, x)$  of Lu<sub>1-x</sub>V<sub>x</sub>NiSb (Fig. 7, b) is described by formula (2) [23]:

$$\alpha = \frac{k_{\rm B}}{e} \left( \frac{\varepsilon_V^{\alpha}}{k_{\rm B}T} - \gamma + 1 \right), \tag{2}$$

where  $\gamma$  is a parameter that depends on the scattering mechanism. The values of the activation energies  $\epsilon_1^{\alpha}(x)$  and  $\epsilon_3^{\alpha}(x)$  were calculated from the high- and low-temperature activation regions of the  $\alpha(1/T,x)$ 

dependence. Their values are proportional to the amplitude of the large-scale fluctuation of the continuous energy zones and the small-scale fluctuation of the compensated semiconductor [26].

As is seen from the temperature dependence of the resistivity  $\ln(\rho(1/T,x))$  of p-LuNiSb in Fig. 7, a, there are the activation regions at high and low temperatures. The existence of the hopping  $\epsilon_3^\rho$ -conductivity mechanism indicates the presence of a significant number of ionized acceptors in p-LuNiSb, which ensures carrier jumps to localized states in a vicinity of the Fermi energy  $\epsilon_{\rm F}$ . After all, in the structure of the p-LuNiSb semiconductor, there are vacancies in

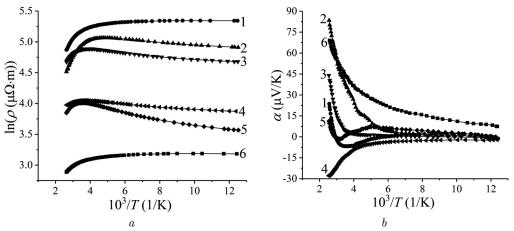


Fig. 7. Temperature dependences of the resistivity  $\ln(\rho(1/T,x))$  (a) and thermopower coefficient  $\alpha(1/T,x)$  (b) of  $\operatorname{Lu}_{1-x}\operatorname{V}_x\operatorname{NiSb}$ : x=0.01 (1); x=0.03 (2); x=0.05 (3); x=0.07 (4); x=0.10 (5); x=0 (6)

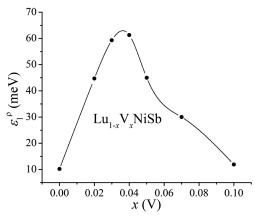


Fig. 8. Change in values of activation energies  $\epsilon_1^{\rho}(x)$  of  $\mathrm{Lu}_{1-x}\mathrm{V}_x\mathrm{NiSb}$ 

the positions of atoms 4a and 4c [7], which generates acceptor states  $\epsilon_1^A$  in the band gap  $\epsilon_g$ , and the Fermi level  $\epsilon_F$  is located at a distance of  $\sim 10.2$  meV from the edge of the valence band  $\epsilon_V$  (Fig. 8).

For all other samples of  $\operatorname{Lu}_{1-x} \operatorname{V}_x \operatorname{NiSb}$ , x>0, there are no low-temperature activation areas and a mechanism of hopping  $\epsilon_3^\rho$ -conductivity on the temperature dependences of the resistivity  $\ln(\rho(1/T,x))$ . An increase in the resistivity  $\rho$  at low temperatures in samples of  $\operatorname{Lu}_{1-x} \operatorname{V}_x \operatorname{NiSb}$ , x>0, indicates the metallization of conductivity, which, in the compensated semiconductors, is a sign of the proximity of impurity states that fix the Fermi level  $\epsilon_{\rm F}$  and the edge of the conduction band [26]. In the case of doped semiconductors  $\operatorname{Lu}_{1-x} \operatorname{V}_x \operatorname{NiSb}$ ,  $x=0.01-\leq 0.07$ , this facili-

tates the ionization of acceptor states and the appearance of valence band holes  $\epsilon_V$ . There is an overlap of the wave functions of the impurity states near the Fermi energy  $\epsilon_F$  with the states of the valence band  $\epsilon_V$ , forming a "tail" of the band, which leads to the metallization of conductivity.

From the results of experimental studies of the crystal structure, in particular, changes in the values of the unit cell period a(x) (Fig. 3, insert), it was assumed that the generation of acceptor states  $\epsilon_A^2$  in a semiconductor is possible as a result of the partial replacement of Ni atoms  $(3d^84s^2)$  by V atoms  $(3d^34s^2)$  (V has fewer 3d-electrons than Ni). The results of electrokinetic studies show the correctness of this assumption, since, in the experiment, we observed a change in the type of main charge carriers, which is mainly determined by the sign of the thermopower coefficient  $\alpha(T,x)$  of  $\mathrm{Lu}_{1-x}\mathrm{V}_x\mathrm{NiSb}$ .

The growth of the dependence of the thermopower coefficient  $\alpha(1/T,x)$  in the interval  $T=80\div190~{\rm K}$  shows that, even at low temperatures, donors are generated in Lu<sub>0.93</sub>V<sub>0.07</sub>NiSb. The presence of a maximum in the dependence of  $\alpha(1/T,x)$  at the temperature  $T\approx190~{\rm K}$  indicates that the rate of increase in the concentration of donors is greater than the rate of increase in the concentration of acceptors. The depletion of the acceptor band  $\epsilon_A^1$ , generated by vacancies in the p-LuNiSb semiconductor structure, occurs. This leads to a change in the sign of the thermopower coefficient  $\alpha(1/T,x)$  at the temperature  $T\approx294~{\rm K}$ . In turn, an increase in the nega-

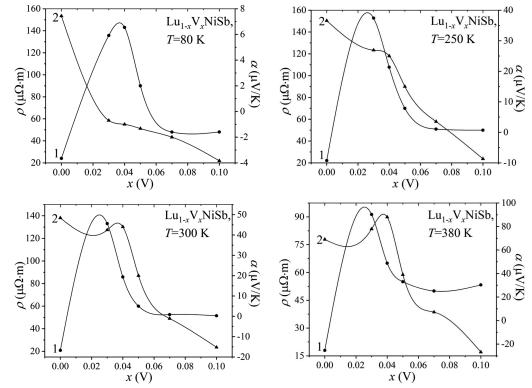


Fig. 9. Resistivity  $\rho(x,T)$  and the thermoelectric coefficient  $\alpha(x,T)$  of  $\text{Lu}_{1-x}\text{V}_x\text{NiSb}$  at different concentrations and temperatures

tive values of the thermopower coefficient at temperatures  $T=294\div316$  K is the evidence of a change in the type of conductivity, when electrons become the main carriers of a semiconductor.

In Lu<sub>0.99</sub>V<sub>0.01</sub>NiSb, the depth of the Fermi level  $\epsilon_{\rm F}$  is ~23 meV. With increasing the concentration of V atoms, it increases to ~45 meV for Lu<sub>0.98</sub>V<sub>0.02</sub>NiSb and ~59 meV for Lu<sub>0.97</sub>V<sub>0.03</sub>NiSb (Fig. 8). Since, in the concentration interval  $x=0\div 0.03$ , the values of the activation energy of holes from the acceptor states  $\epsilon_A^2$  to the edge of the valence band  $\epsilon_V$  increase almost linearly, this allows us to determine the drift speed of the Fermi level  $\epsilon_{\rm F}$  from the valence band  $\epsilon_V$ :  $\Delta \epsilon_{\rm F}/\Delta x \approx 16 \ {\rm meV}/\% {\rm V}.$ 

Similar conclusions can be reached by analyzing the concentration dependences of the resistivity  $\rho(x,T)$  and the thermopower coefficient  $\alpha(x,T)$  of  $\text{Lu}_{1-x}\text{V}_x\text{NiSb}$  at different temperatures (Fig. 9). Thus, an increase in the resistivity  $\rho(x,T)$  at concentrations  $x=0\div0.03$  in a p-type semiconductor is possible, only if the concentration of free holes decreases, which can happen, only if donors ap-

pear in the semiconductor, which compensate acceptor states by capturing holes. At the same time, there is an increase in the degree of compensation of the semiconductor  $Lu_{1-x}V_xNiSb$ . The nature of a change in the resistivity  $\rho(x,T)$  and the thermoelectric coefficient  $\alpha(x,T)$  of Lu<sub>1-x</sub>V<sub>x</sub>NiSb in the interval of concentrations  $x = 0 \div 0.03$  at different temperatures are consistent with the conclusion based on structural studies and clarify them. The analysis of changes in the lattice parameter a(x) of Lu<sub>1-x</sub>V<sub>x</sub>NiSb at concentrations  $x = 0 \div 0.03$  showed that the growth can be caused by the partial occupation of the 4c position of Ni atoms by V atoms. The behavior of the thermoelectric coefficient  $\alpha(x,T)$  of Lu<sub>1-x</sub>V<sub>x</sub>NiSb for  $x = 0 \div 0.03$  shows that the concentration of acceptors present in the semiconductor still exceeds the concentration of generated donors.

At a higher concentration of V atoms (x=0.04), an extremum appears on the dependence of the resistivity  $\rho(x,T)$  (Fig. 9), which shifts to the region of lower concentrations of V with increasing the temperature. The appearance of an extremum

on the dependence of  $\rho(x,T)$  indicates changes in the ratio of ionized acceptors and donors present in  $\mathrm{Lu}_{1-x}\mathrm{V}_x\mathrm{NiSb}$ . After all, an increase in the temperature simplifies the ionization of acceptors and donors. As a result, the concentration of free carriers increases.

The semiconductor  $Lu_{0.93}V_{0.07}NiSb$  is doped and compensated and contains significant concentrations of electrons and holes. An increase in the concentration of V atoms (x > 0.07) is accompanied by a change in the type of main charge carriers from holes to electrons (Fig. 9). In turn, high concentrations of free electrons ensure the high conductivity of  $Lu_{1-x}V_xNiSb$ , and this has a slight effect on the change in the resistivity  $\rho(x,T)$ .

So, the experimental results of structural studies (Fig. 3, insert), magnetic susceptibility  $\chi(x)$  (Fig. 4), resistivity  $\rho(x,T)$ , thermoelectric coefficient  $\alpha(x,T)$  (Figs. 7 and 9), and the activation energy  $\epsilon_1^{\rho}(x)$  (Fig. 8) of  $\text{Lu}_{1-x} \text{V}_x \text{NiSb}$  revealed that V atoms can simultaneously occupy crystallographic positions 4a of Lu atoms and 4c of Ni atoms at  $x=0\div 0.03$ . At the same time, structural defects of the acceptor or donor nature are generated in the semiconductor, which leads to the appearance of the corresponding acceptor and donor states in the band gap  $\epsilon_g$ . The concentration ratio of donor-acceptor states determines the position of the Fermi level  $\epsilon_{\rm F}$  and the mechanisms of electrical conductivity of  $\text{Lu}_{1-x} \text{V}_x \text{NiSb}$ .

### 4. Conclusions

A comprehensive study of the crystal and electronic structures, thermodynamic, kinetic, energy, and magnetic properties of the semiconductor  $Lu_{1-x}V_xNiSb$ has shown the possibility for impurity V atoms to simultaneously occupy different crystallographic positions ( $x = 0 \div 0.03$ ). At the same time, defects of the acceptor or donor nature are generated in the structure of the semiconductor  $Lu_{1-x}V_xNiSb$ , and the corresponding energy states appear in the band gap  $\epsilon_q$ . The concentration ratio of donor-acceptor states determines the position of the Fermi level  $\epsilon_{\rm F}$  and the mechanisms of electrical conductivity of  $Lu_{1-x}V_xNiSb$ . The results of modeling the properties of the semiconductor are consistent with the data of experimental studies. Understanding the mechanism of energy state generation in the semiconductor  $Lu_{1-x}V_xNiSb$  allows the modeling and production of new thermoelectric materials with a high efficiency of converting the thermal energy into the electrical one.

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В.В. Ромака, В.А. Ромака, И.О. Плевачук, А.М. Горінь, М.З. Пашкевич, П.І. Гаранюк ОСОБЛИВОСТІ ГЕНЕРУВАННЯ ЕНЕРГЕТИЧНИХ СТАНІВ У НАПІВПРОВІДНИКУ  $\operatorname{Lu}_{1-x} \operatorname{V}_x \operatorname{NiSb}$ 

Комплексне дослідження кристалічної та електронної структур, термодинамічних, кінетичних, енергетичних та магнітних властивостей напівпровідника  $Lu_{1-x}V_xNiSb$ , x=00,10 встановило можливість домішкових атомів V одночасно займати різні кристалографічні позиції. При цьому у структурі  $Lu_{1-x}V_xNiSb$  генеруються дефекти акцепторної та донорної природи, а в забороненій зоні  $\epsilon_q$ з'являються відповідні енергетичні стани. Співвідношення концентрацій донорно-акцепторних станів визначає положення рівня Фермі  $\epsilon_{\mathrm{F}}$  та механізми електропровідності Lu<sub>1-т</sub>V<sub>x</sub>NiSb. Результати моделювання властивостей напівпровідника узгоджуються з даними експериментальних досліджень. Розуміння механізму генерування енергетичних станів у напівпровіднику у Lu<sub>1-x</sub>V<sub>x</sub>NiSb дозволяє моделювати та отримувати нові термоелектричні матеріали з високою ефективністю перетворення теплової енергії в електричну.

K лючові слова: рівень Фермі, електронна структура, електроопір, коефіцієнт термо-ерс.